

5.7 Back to fundamentals: Stokes' theorem and the divergence theorem

- If S is the upper half of the sphere, then the boundary curve γ is the unit circle. We can parametrize it by $x = \cos t$, $y = \sin t$, $z = 0$, and the line integral becomes $\int_{\gamma} x dx + y dy + z dz = \int_0^{2\pi} 0 dt = 0$.
 - S and γ are as in the preceding part. Now the line integral becomes $\int_{\gamma} -y dx + x dy + z dz = \int_0^{2\pi} 1 dt = 2\pi$.
 - If S is the part of the paraboloid $z = x^2 + y^2$ with $z \leq 1$, then the boundary curve γ is the unit circle, as in the preceding parts. Now the line integral becomes $\int_{\gamma} y dx + z dy + x dz = \int_0^{2\pi} 0 - \sin^2 t dt = -\pi$.

2. Using cylindrical coordinates,
$$\iiint_V \operatorname{div} \mathbf{f} dV = \int_0^{2\pi} \int_0^1 \int_{r^2}^1 r dz dr d\theta = \frac{\pi}{2}.$$

Using polar coordinates,
$$\iint_{S_1} \mathbf{f} \cdot \mathbf{n} dS = \iint_D (u, v, u^2 + v^2) \cdot (2u, 2v, -1) du dv = \iint_D (u^2 + v^2) du dv$$

$$= \int_0^{2\pi} \int_0^1 r^3 dr d\theta = \frac{\pi}{2}.$$

Using polar coordinates,
$$\iint_{S_2} \mathbf{f} \cdot \mathbf{n} dS = \iint_D (u, v, 1) \cdot (0, 0, 1) du dv = \iint_D du dv = \int_0^{2\pi} \int_0^1 r dr d\theta = \pi.$$

- Here $\operatorname{div} \mathbf{f} = 1$, so the the volume integral $\iiint_V \operatorname{div} \mathbf{f} dV$ is just the volume of V , or $\pi/2$. (The volume is simplest in spherical coordinates.)
 - With parametrizations as in Example 2, the surface integral has two parts, over S_1 and S_2 . The flux integral over S_1 becomes $\iint_D (u, 0, 0) \cdot (2u, 2v, -1) du dv = \iint_D 2u^2 du dv$, where D is the unit disk. The value of this integral is $\pi/2$.
The flux integral over S_2 becomes $\iint_D (u, 0, 0) \cdot (0, 0, 1) du dv = 0$.
The total flux integral, therefore, is $\pi/2$.

- The divergence of the given vector field is 3, so the divergence theorem says here that the flux integral across S is the volume integral of the function 3 on the solid V .
 - We get $\iiint_V \operatorname{div} \mathbf{f} dV = \iiint_V 3 dV = 3 \text{ volume of } V = 3$.
 - The flux integral has 6 parts; the answers add up to 3. Notice that along each face, a suitable normal vector is \mathbf{i} , \mathbf{j} , or \mathbf{k} .

- The divergence of any curl field is zero; therefore, by the divergence theorem,

$$\iint_S \mathbf{f} \cdot \mathbf{n} dS = \iiint_V \operatorname{div} \nabla h dV = 0.$$

- Since $\operatorname{div} \mathbf{f} = 6$, $\iint_S \mathbf{f} \cdot \mathbf{n} dS = 6 \cdot 4\pi/3 = 8\pi$.

- Since $\operatorname{div} \mathbf{f} = 1 + 2y$,
$$\iint_S \mathbf{f} \cdot \mathbf{n} dS = \int_0^{2\pi} \int_0^{\pi} \int_0^1 (1 + 2\rho \sin \phi \sin \theta) \rho^2 \sin \phi d\rho d\phi d\theta = \frac{4\pi}{3}.$$

- Since $\operatorname{div} \mathbf{f} = 2y$,
$$\iint_S \mathbf{f} \cdot \mathbf{n} dS = \int_0^{2\pi} \int_0^{\pi} \int_0^1 2\rho^3 \sin^2 \phi \sin \theta d\rho d\phi d\theta = 0$$

- Let $\mathbf{X}(t) = (\cos t, \sin t, 0)$. Then, $\int_{\gamma} \mathbf{f} \cdot d\mathbf{X} = \int_0^{2\pi} (-\sin t, \cos t, 0) \cdot (-\sin t, \cos t, 0) dt = 2\pi$.
 - Since γ is the boundary of the upper half of the unit sphere, Stokes' theorem says that $\iint_S (\operatorname{curl} \mathbf{f}) \cdot \mathbf{n} dS = \int_{\gamma} \mathbf{f} \cdot d\mathbf{X} = 2\pi$. This result can be checked by evaluating the surface integral directly: Since $\operatorname{curl} \mathbf{f} = (0, 0, 2)$, $\iint_S (\operatorname{curl} \mathbf{f}) \cdot \mathbf{n} dS = \iint_A (0, 0, 2) \cdot (-g_u, -g_v, 1) dA = 2 \iint_D dA = 2\pi$, where $g(u, v) = \sqrt{1 - u^2 - v^2}$ and D is the unit disk $x^2 + y^2 \leq 1$.

- (c) Since γ is the boundary of S , Stokes' theorem says that $\iint_S (\text{curl } \mathbf{f}) \cdot \mathbf{n} \, dS = \int_\gamma \mathbf{f} \cdot d\mathbf{X} = 2\pi$. As in part (b), this result can be checked by evaluating the surface integral directly: $\iint_S (\text{curl } \mathbf{f}) \cdot \mathbf{n} \, dS = \iint_D (0, 0, 2) \cdot (-2u, -2v, 1) \, dA = 2 \iint_D dA = 2\pi$ since D is the unit disk $x^2 + y^2 \leq 1$.

8. (a) $\text{curl } \mathbf{f} = (1, 1, 1)$

(b) $\text{div } \mathbf{f} = -3$

(c) By the divergence theorem, $\iiint_T \mathbf{f} \cdot \mathbf{n} \, dS = -3 \cdot \frac{4\pi}{3} 2^3 = -32\pi < 0$.

9. (a) The surface S can be described using the parametric representation $\mathbf{X}(u, v) = (u, v, u^2 + v^2)$. Therefore, the vector $\mathbf{n} = \mathbf{X}_u(u, v) \times \mathbf{X}_v(u, v) = (-2u, -2v, 1)$ is orthogonal to S at the point $(u, v, \mathbf{X}(u, v))$. It follows that the vector $(-2, -4, 1)$ is normal to S at the point $(1, 2, 5)$.

- (b) Let $\mathbf{n} = (-2, -4, 1)$ and $\mathbf{X}_0 = (1, 2, 5)$. An equation of the plane tangent to S at the point $(1, 2, 5)$ is then $\mathbf{n} \cdot (\mathbf{X} - \mathbf{X}_0) = 0$. Written in scalar form, this equation is $2x + 4y - z = 5$.

(c) The area of S is $\int_1^2 \int_2^3 |\mathbf{X}_u(u, v) \times \mathbf{X}_v(u, v)| \, dv \, du = \int_1^2 \int_2^3 \sqrt{1 + 4u^2 + 4v^2} \, dv \, du$.

- (d) The area of the portion of the tangent plane that lies above R is $|\mathbf{X}_u(1, 2) \times \mathbf{X}_v(1, 2)| = |(-2, -4, 1)| = \sqrt{21}$.

10. Let S denote the unit ball. By the divergence theorem, $\iiint_S \text{div } \mathbf{f} \, dV = \iint_{\partial S} \mathbf{f} \cdot \mathbf{n} \, dS$. Now, since $\text{div } \mathbf{f} = a$ in all of \mathbb{R}^3 , $\iiint_S \text{div } \mathbf{f} \, dV = \frac{4\pi a}{3}$. Also, since the unit sphere can be parameterized as $\mathbf{X}(u, v) = (\sin u \cos v, \sin u \sin v, \cos u)$ for $0 \leq u \leq \pi$ and $0 \leq v \leq 2\pi$,

$$\begin{aligned} \iint_{\partial S} \mathbf{f} \cdot \mathbf{n} \, dS &= \iint_D \mathbf{f}(\mathbf{X}(u, v)) \cdot (\mathbf{X}_u(u, v) \times \mathbf{X}_v(u, v)) \, dA \\ &= \int_0^{2\pi} \int_0^\pi (0, 0, \cos^3 u) \cdot (\sin^2 u \cos v, \sin^2 u \sin v, \sin u \cos u) \, du \, dv \\ &= \int_0^{2\pi} \int_0^\pi \sin u \cos^4 u \, du \, dv = \frac{4\pi}{5}. \end{aligned}$$

Therefore, $a = 3/5$.

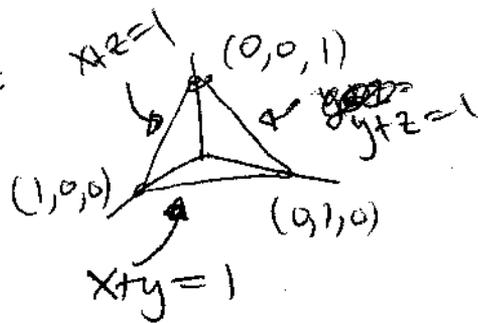
11. Let Π be a plane, \mathbf{N} a vector normal to Π , γ a closed curve lying in Π , and R the region in Π bounded by γ . Since R is a plane region and $\text{curl } \mathbf{f}$ is a constant vector,

$$\iint_R (\text{curl } \mathbf{f}) \cdot \mathbf{n} \, dS = ((\text{curl } \mathbf{f}) \cdot \mathbf{N}) \iint_R dA.$$

Now, Stokes' theorem implies that $\iint_R (\text{curl } \mathbf{f}) \cdot \mathbf{n} \, dS = \oint_\gamma \mathbf{f} \cdot d\mathbf{X}$. Therefore, if $(\text{curl } \mathbf{f}) \cdot \mathbf{N} = 0$, then

$\oint_\gamma \mathbf{f} \cdot d\mathbf{X} = 0$. It follows that if \mathbf{N} is a vector such that $(1, 2, 5) \cdot \mathbf{N} = 0$ (e.g., $\mathbf{N} = (-1, -2, 1)$), then the plane described by the equation $\mathbf{N} \cdot (x, y, z) = 0$ has the desired properties (e.g., $x + 2y = z$).

2. as an iterated integrals:



$$I = \int_{x=0}^1 \int_{y=0}^{1-x} \int_{z=0}^{1-x-y} dz dy dx$$

$$= \int_{x=0}^1 \int_{y=0}^{1-x} (1-x-y) dy dx = \int_{x=0}^1 \left((1-x)(1-x) - \frac{(1-x)^2}{2} \right) dx$$

$$= \left[\frac{(1-x)^2}{2} - \frac{x^2}{2} + \frac{x^3}{3} + \frac{1}{2} \frac{(1-x)^3}{3} \right]_0^1$$

~~$$= \left[\frac{(1-x)^2}{2} - \frac{x^2}{2} + \frac{x^3}{3} + \frac{1}{2} \frac{(1-x)^3}{3} \right]_0^1$$~~

$$= -\frac{1}{2} + \frac{1}{3} + \frac{1}{2} - \frac{1}{6}$$

$$= \boxed{\frac{1}{6}}$$

as a flux integral:

The divergence thm says:

$$\iiint_V \operatorname{div} E \, dV = \iiint_V dV = \iint_S E \cdot n \, dS$$

Let T_1 be the triangular face of V with $x=0$
 T_2 " " " " " " with $y=0$
 T_3 " " " " " " with $z=0$
 T_4 " " " " " " $x+y+z=1$.

★ Note $\iint_{T_1} E \cdot n \, dS = 0$ since E is 0 on T_1 .

Let n_2 , normal to T_2 be $n_2 = \langle 0, -1, 0 \rangle$

Let n_3 , normal to T_3 be $n_3 = \langle 0, 0, -1 \rangle$

Let n_4 , normal to T_4 be $n_4 = \frac{\langle 1, 1, 1 \rangle}{\sqrt{3}}$

★ Note n_2, n_3, n_4 are all UNIT, outward pointing vectors.

2(cont).

It is clear that the area magnification factor is 1 for T_2, T_3 , while for T_4 we have $X(u,v) = (u, v, 1-u-v)$ so that $X_u = (1, 0, -1)$, $X_v = (0, 1, -1)$ so that $\|X_u \times X_v\| = \sqrt{3}$

$$\text{Flux} = \int_{x=0}^1 \int_{z=0}^{1-x} (X, 0, 0) \cdot (0, -1, 0) dz dx$$

$$+ \int_{x=0}^1 \int_{y=0}^{1-x} (X, 0, 0) \cdot (0, 0, -1) dy dx$$

$$\cancel{\int_{x=0}^1 \int_{y=0}^{1-x} (X, 0, 0) \cdot (1, 1, 1) dy dx} + \int_{z=0}^1 \int_{y=0}^{1-z} (1-y-z, 0, 0) \cdot (1, 1, 1) dy dz$$

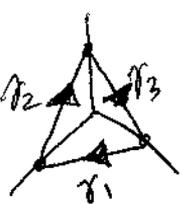
$$= \int_{z=0}^1 \cancel{\int_{y=0}^{1-z} (1-y-z)^2 dy} dz = \int_{z=0}^1 \left((1-z) - (1-z)^2 \right) z - \frac{(1-z)^3}{3} dz = \boxed{\frac{1}{6}}$$

(Of course, we could have done this in kindergarten. $V = \frac{1}{3}bh = \frac{1}{3}(\frac{1}{2})1 = \frac{1}{6}$.)

3) Let's first parameterize γ :

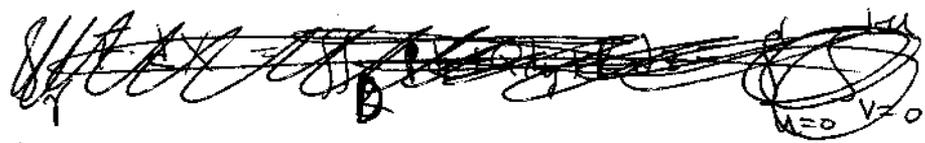
γ consists of three lines, the line $\gamma_1: x+y=1$, the line $\gamma_2: x+z=1$, the line $\gamma_3: y+z=1$
 We have the following parameterizations:

$\gamma_1: (t, 1-t, 0)$ $\gamma_2: (t, 0, t)$ $\gamma_3: (0, t, 1-t)$



LINE a) $\int_{\gamma} F \cdot dX = \int_0^1 F(\gamma(t)) \cdot \gamma'(t) dt = \int_0^1 (0, t, 1-t) \cdot (1, -1, 0) dt$
 $+ \int_0^1 (t, 1-t, 0) \cdot (-1, 0, 1) dt + \int_0^1 (1-t, 0, t) \cdot (0, 1, -1) dt$
 $= \int_0^1 -t - t - t dt = -\frac{3t^2}{2} \Big|_0^1 = \boxed{-\frac{3}{2}}$

STOKES



$\int_{\gamma} F \cdot dX = \iint_D (\text{curl } F) \cdot (1, 1, 1) dx dy dz$
 $\text{curl } F = (0, 1, 1)$
 $\int_{\gamma} F \cdot dX = \int_{z=0}^1 \int_{y=0}^{1-z} 3 dy dz = \int_{z=0}^1 3(1-z) dz = -\frac{3}{2}(1-z)^2 \Big|_0^1 = \boxed{\frac{3}{2}}$

note the sign difference because we parameterized γ clockwise and NOT counterclockwise.

LINE b) $\int_{\gamma} F \cdot dX = \int_0^1 (t^2, 1-t, 0) \cdot (1, 1, 0) dt + \int_0^1 (1-t^2, 0, t) \cdot (-1, 0, 1) dt$
 $+ \int_0^1 (0, t, 1-t) \cdot (0, 1, -1) dt$
 $= \int_0^1 t^2 - (1-t) - (1-t)^2 + t + t - (1-t) dt$
 $= \int_0^1 2t - 2 + 2t + 2t - 1 dt$
 $= \int_0^1 6t - 3 dt = 3t^2 - 3t \Big|_0^1 = \boxed{0}$

STOKES $\text{curl } F = (0, 0, 0)$ so $\iint_D \text{curl } F \cdot n \, dS = \boxed{0}$

LINE c) $\int_{\gamma} F \cdot dX = \int_0^1 (t(1-t), 0, 0) \cdot (1, 1, 0) dt + \int_0^1 (0, t, 0) \cdot (-1, 0, 1) dt$
 $+ \int_0^1 (0, 1-t, 0) \cdot (0, 1, -1) dt$
 $= \int_0^1 t - t^2 + 1 - t dt = t - \frac{t^3}{3} \Big|_0^1 = \boxed{\frac{2}{3}}$

STOKES $\text{curl } F = (-1, 0, -x)$

$$\begin{aligned} \iint_D \text{curl } F \cdot n \, dS &= \int_{z=0}^1 \int_{y=0}^{1-z} (-1, 0, -(1-y-z)) \cdot (1, 1, 1) \, dy \, dz \\ &= - \int_{z=0}^1 \int_{y=0}^{1-z} (2-y-z) \, dy \, dz \\ &= - \int_{z=0}^1 2(1-z) - \frac{(1-z)^2}{2} - z(1-z) \, dz \\ &= \left[(1-z)^2 - \frac{(1-z)^3}{6} + \frac{z^2}{2} - \frac{z^3}{3} \right]_0^1 \\ &= \frac{1}{2} - \frac{1}{3} - 1 + \frac{1}{6} = \frac{1}{3} - 1 = \boxed{-\frac{2}{3}} \end{aligned}$$

again, the sign difference comes from the CW instead of CCW parameterization of γ_0

4a) - let $F = (P, Q, 0)$ then
 since $n = (0, 0, 1)$ is a good
 unit normal vector for the plane $z=c$,
 we have $F \cdot n = 0$. We want
 $\text{curl } F = (f_y - Q_z, P_z - R_x, Q_x - P_y)$
 $= (-Q_z, P_z, Q_x - P_y) = (1, 1, 0)$
 so set $Q = -z, P = z$. $F = (z, -z, 0)$ works

b) If the line integral around all closed loops in
 the xoy plane is 0, we have

$$\iint_S \text{curl } f \cdot (1, 0, 0) \, dS = 0 = \iint_S dS$$

eg Area(S) = 0 for all regions S bounded by
 a closed curve. This is absurd, so NO SUCH
 VECTOR FIELD EXISTS

c) If the line integral is 0 around all closed
 loops, $F = \nabla f$ for some function f .

Then $\text{div } F = f_{xx} + f_{yy} + f_{zz} = 2$. Set $f(x, y, z) = z^2$
 Then $F = (0, 0, 2z)$ and $\text{div } F = 2$.

d) Since $\iiint_V \text{div } F \, dV = \iint_S F \cdot n \, dS = 0$

we have $\iiint_V 2 \, dV = 2 \text{Vol } V = 0$

for all regions V bounded by a closed surface

This is absurd, so NO SUCH VECTOR FIELD EXISTS

$$5) \quad F: \mathbb{R}^3 \rightarrow \mathbb{R}^3, \quad g: \mathbb{R}^3 \rightarrow \mathbb{R}.$$

NOTE gF denotes scalar multiplication of the vector F by the scalar g !!

a) Let $F = (P, Q, R)$

$$\operatorname{div}(gP, gQ, gR) = (gP)_x + (gQ)_y + (gR)_z$$

$$= g_x P + g P_x + g_y Q + g Q_y + g_z R + g R_z$$

$$= (g_x, g_y, g_z) \cdot (P, Q, R) + g(P_x, Q_y, R_z)$$

$$= g \operatorname{div} F + \nabla g \cdot F$$

b) $\operatorname{curl}(gF) = \operatorname{curl}(gP, gQ, gR)$

$$= ((gR)_y - (gQ)_z, (gP)_z - (gR)_x, (gQ)_x - (gP)_y)$$

$$= (g_y R + g R_y - g_z Q - g Q_z, g_z P + g P_z - g_x R - g R_x, g_x Q + g Q_x - g_y P - g P_y)$$

$$= g(R_y - Q_z, P_z - R_x, Q_x - P_y) + (g_y R - g_z Q, g_z P - g_x R, g_x Q - g_y P)$$

Note $\nabla g \times F = \det \begin{vmatrix} i & j & k \\ g_x & g_y & g_z \\ P & Q & R \end{vmatrix} = (g_y R - g_z Q, g_z P - g_x R, g_x Q - g_y P)$

So $\operatorname{curl}(gF) = g \operatorname{curl}(F) + \nabla g \times F.$ □

$$6. \left| \iiint_B \operatorname{div} E \, dV \right| = \left| \iint_S E \cdot n \, dS \right| \leq \iint_S |E \cdot n| \, dS$$

Since $\|E\| \leq 1$, $|E \cdot n| \leq 1$ so that the above is $\leq \iint_S dS = \text{Surface area}$

of the ~~sphere~~ ^{ball} of radius 1 = 4π . Hence,

$\left| \left(\iiint_B \operatorname{div} E \, dV \right) \right| \leq 4\pi$ which gives the proposed inequality,

$$7. \text{ Since } \iint_S (\operatorname{curl} F) \cdot n \, dS = \int_{\gamma} F \cdot dx$$

$$\text{we have } \iint_S F \cdot n \, dS = \int_{\gamma} A \cdot dx$$

$$\text{Here, } \left| \iint_D F \cdot n \, dS \right| = \left| \int_{\gamma} A \cdot dx \right| \leq \int_{\gamma} |A| \, |dx|$$

Since $\|A\| \leq 1$, the above is

$$\leq \int_{\gamma} |dx| = \text{length of } \gamma = \text{circumference}$$

of circle $D = 2\pi$. Thus,

$$\left| \iint_D F \cdot n \, dS \right| \leq 2\pi.$$

8) The plane $\cos\theta x + \sin\theta z = 0$ has unit normal vector $n = (\cos\theta, 0, \sin\theta)$.
 Since $A = (0, 0, y)$, $\text{curl } A = (1, 0, 0)$
 So that $\text{curl } A \cdot n = \cos\theta$. Since

$$I(\theta) = \left| \int_{D_\theta} A \cdot dx \right| = \left| \iint_{D_\theta} \text{curl } A \cdot n \, dS \right|, \text{ we see}$$

that the left hand side is maximized when $\cos\theta$ is 1 or -1, eg when $\theta = 0, \pi$ (since $0 \leq \theta < 2\pi$) By Stokes theorem,

~~$$I(\theta) = \left| \iint_{D_\theta} \text{curl } A \cdot n \, dS \right|$$~~

$$I(0) = I(\pi) = \left| \iint_{z^2+y^2=1} 1 \, dS \right| = 2\pi$$

(area of circle of radius 1).

9) a) Ave val = $\frac{\int_0^a y \, dy}{\int_0^a dy} = \frac{\frac{a^2}{2}}{a} = \boxed{\frac{a}{2}}$

b)  $r(t) = (\cos t, \sin t)$
 Ave val = $\frac{\int_0^\pi (\sin t) \cdot 1 \, dt}{\pi}$
 (since $\|r'(t)\| = 1$) = $\boxed{\frac{2}{\pi}}$

c) ~~Area of a~~

Parameterize the hemisphere by
 $X(u,v) = (a \sin v \cos u, a \sin v \sin u, a \cos v)$
 (in spherical coordinates). We have
 $X_u = (-a \sin v \sin u, a \sin v \cos u, 0)$
 $X_v = (a \cos v \cos u, a \cos v \sin u, -a \sin v)$
 $X_u \times X_v = (-a^2 \sin^2 v \cos u, -a^2 \sin^2 v \sin u, -a^2 \sin v \cos v)$

$\|X_u \times X_v\| = a^2 \sin v$

In terms of our parameterization $z = a \cos v$.

we have Area Val = $\int_{v=0}^{\pi/2} \int_{u=0}^{2\pi} (a \cos v)(a^2 \sin v) \, du \, dv$

$= \frac{a^3}{2\pi a^2} 2\pi \left[\frac{\sin^2 v}{2} \right]_0^{\pi/2}$ $\frac{1}{2} \cdot 4 \cdot \pi a^3$

$= \frac{a}{2}$

d) Area Val = $\int_{\theta=0}^{2\pi} \int_{\phi=0}^{\pi/2} \int_{\rho=0}^a (\rho \cos \phi) \rho^2 \sin \phi \, d\rho \, d\phi \, d\theta$

$= \frac{2\pi \left(\frac{a^4}{4}\right) \left[\frac{\sin^2 \phi}{2}\right]_0^{\pi/2}}{\frac{2}{3} \pi a^3} = \frac{a^4/4}{\frac{2}{3} a^3} = \frac{3}{8} a$

10) a) Since $\iiint_V \operatorname{div} f \, dV = \iint_S f \cdot n \, dS$

and since $\operatorname{div} F = \cancel{1+z+1-z+2z}$
 $= 2(1+z)$ we have

$2 \iiint_V (1+z) \, dV = \text{Flux of } F \text{ through surface}$
 $+ \text{Flux of } F \text{ through boundary}$

both A, B have the same flux through the boundary (since the boundaries are the same)

So the flux through the surface is least for surface A since $2 \iiint_V (1 + \sqrt{1-x^2-y^2}) \, dV$

is clearly less than $2 \iiint_V (1 + 2\sqrt{1-x^2-y^2}) \, dV$.

b) Since both surfaces have the same bounding curve, and since

$$\iint_S \operatorname{curl} F \cdot n \, dS = \int_{\gamma} F \cdot dx,$$

we see that the flux of $\operatorname{curl} F$ is equal, for A, B

c) We must compute ~~$\int_{\gamma} F \cdot dx$~~ $\int_{\gamma} F \cdot dx$

for $\gamma(t) = (\cos t, \sin t, 0) \quad 0 \leq t \leq 2\pi$

$= \int_0^{2\pi} (\cos t, \sin t, 0) \cdot (-\sin t, \cos t, 0) \, dt = \boxed{0}$