

8. Let  $X$  be the collection of diagonalizable matrices in  $M_2(\mathbf{R})$ . Is  $X$  open, closed, or neither? Explain.

We will show that  $X$  is neither open nor closed. From the Math 23a Final we have a good characterization of diagonalizable matrices: namely, for every matrix  $A \in M_2(\mathbf{R})$  there either exists a basis so that  $A$  is of the form  $\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$  or there exists a basis so that  $A$  is of the form  $\begin{bmatrix} a & 1 \\ 0 & a \end{bmatrix}$ . The first case is clearly the diagonalizable matrices and the second case is the non-diagonalizable matrices. Note that in the first case  $a$  and/or  $b$  may be zero without changing the fact that  $A$  is diagonalizable. Note also that in the second case it is important that the upper left hand and lower right hand entries must be equal. The matrix  $\begin{bmatrix} a & c \\ 0 & d \end{bmatrix}$  has two distinct eigenvalues when  $a \neq d$ . Thus it has linearly independent eigenvalues and is diagonalizable.

Now we begin work with the problem at hand. Recall that a set is closed if it contains all of its limit points. Thus we show that  $X$  and  $X^C$  are not closed by constructing sequences in each set that converge to a point that is not in the set. For the first example we let  $B_n = \begin{bmatrix} 1 & 1 \\ 0 & 1 + \frac{1}{n} \end{bmatrix}$  for all  $n \in \mathbf{N}$ . It is clear that each  $B_n \in X$  because its characteristic polynomial has two distinct roots and thus  $B_n$  has two linearly independent eigenvectors. We see that  $B_n \rightarrow B = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  as  $n \rightarrow \infty$  and we know from the Jordan blocks problem on the final that  $B \notin X$ . Thus  $X$  is not closed.

Next we consider a sequence of matrices  $D_n = \begin{bmatrix} 1 & \frac{1}{n} \\ 0 & 1 \end{bmatrix}$  for all  $n \in \mathbf{N}$ . It is clear that each  $D_n \in X^C$  because the matrix  $nD_n$  is in the Jordan blocks form and thus is not diagonalizable (so  $D_n$  can't be either). However, we see that  $D_n \rightarrow D = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  as  $n \rightarrow \infty$ , and  $D$  is clearly a diagonal matrix. So  $X^C$  is not closed which means that  $X$  is not open. Thus  $X$  is neither open nor closed.