

## Math 23b Solution: Problem 3E

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$X$  is neither open nor closed. To show it is not open, first note that  $I \in X$ , since it is already diagonal. Define  $I_n = \begin{bmatrix} 1 & 1/n \\ 0 & 1 \end{bmatrix}$ . Each  $I_n$  is in Jordan block form, and since Jordan block form is unique these cannot be diagonalizable. We have  $\|I_n - I\| = 1/n$ , and since  $1/n \rightarrow 0$  as  $n \rightarrow \infty$  we have  $I_n \rightarrow I$ . Thus for any  $\epsilon > 0$ , for sufficiently large  $n$  (specifically,  $n > 1/\epsilon$ )  $I_n \in B_\epsilon(I)$ , and so  $X$  cannot be open

To show it is not closed, consider  $A = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$ , and let  $A_n = \begin{bmatrix} 1 & 1 \\ 0 & 1 - 1/n \end{bmatrix}$ . By a similar argument to before we have  $A_n \rightarrow A$  as  $n \rightarrow \infty$ . However, the characteristic polynomial of  $A_n$  had two distinct roots (namely, 1 and  $1 - 1/n$ ), and so has two linearly independent eigenvectors, which form a basis with respect to which  $A_n$  is diagonal. Thus  $A$  is a limit point of  $X$  not contained in  $X$ , so  $X$  is not closed either.