

Dynamics and algebraic integers: Perspectives on Thurston's last theorem

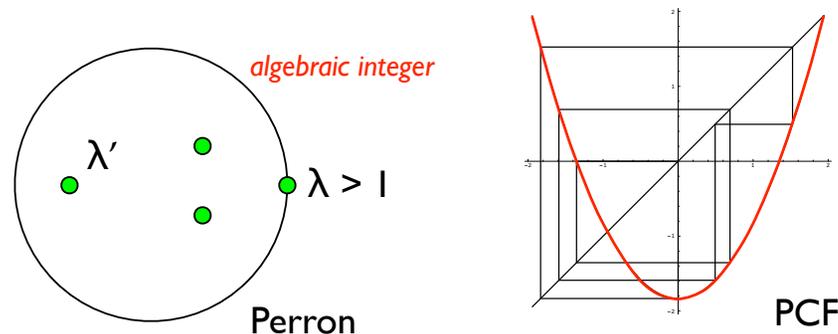
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Theorem (Thurston, *Entropy in dimension one*, 2014)

There exists a post-critically finite map $f : I \rightarrow I$ with entropy $h(f) = \log(\lambda)$

\Leftrightarrow

λ is a weak Perron number.



Theorem (Thurston, *Entropy in dimension one*, 2014)

For any Perron number λ ,
there exists an $f : I \rightarrow I$ with $h(f) = \log(\lambda)$

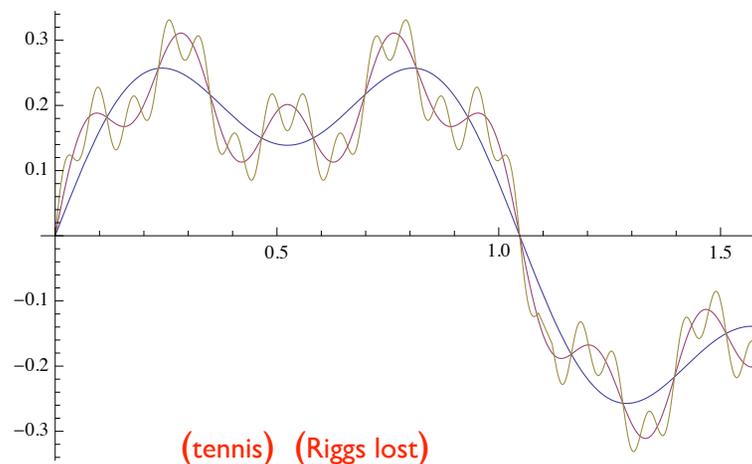
Thesis: *This result is an instance of the h-principle.*

Defn. "Given enough flexibility, anything that is not obstructed by topology is homotopically realizable."
"Every continuous section of a suitable partial differential relation in a jet bundle is homotopically realizable."

Examples of the h-principle

There exists a continuous, nowhere differentiable function.

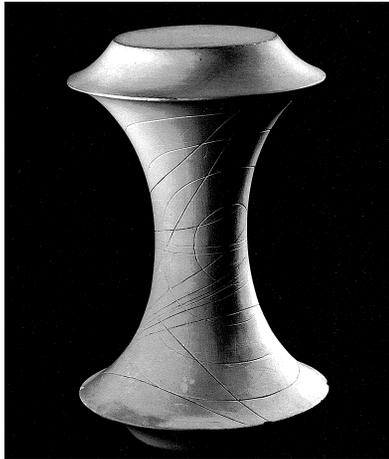
(Weierstrass)



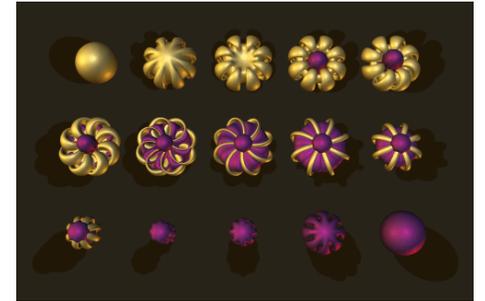
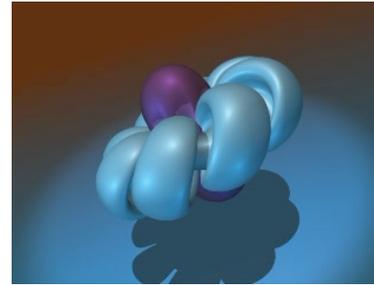
(tennis) (Riggs lost)

A closed hyperbolic surface embeds isometrically (C^1) into \mathbb{R}^3 .

(Nash-Kuiper)



The sphere can be turned inside-out. (Smale)



(Thurston)

There exists a path-isometry $f: S^2 \rightarrow \mathbb{R}^2$.

(Length of $\gamma =$ length of $f \circ \gamma$) (Gromov)



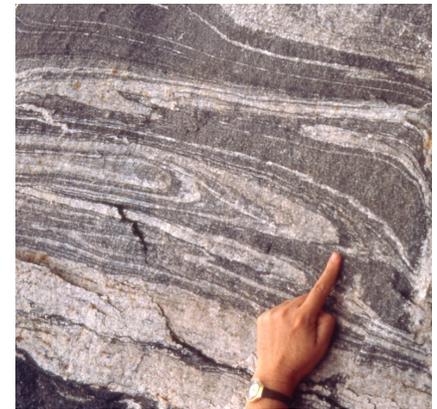
f
→



A manifold admits a codimension 1 foliation $\Leftrightarrow \chi(M) = 0$ (Thurston)



(Lawson, Haefliger, Bott)

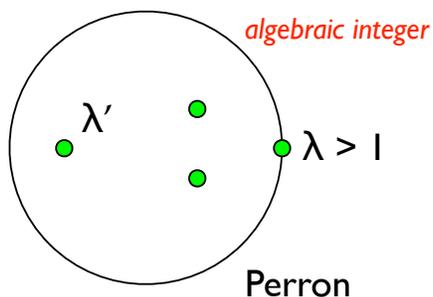


There exists a Perron-Frobenius matrix P in $M_n(\mathbb{Z})$ with spectral radius $\rho(P) = \lambda$

(Lind)

\Leftrightarrow

λ is a Perron number.



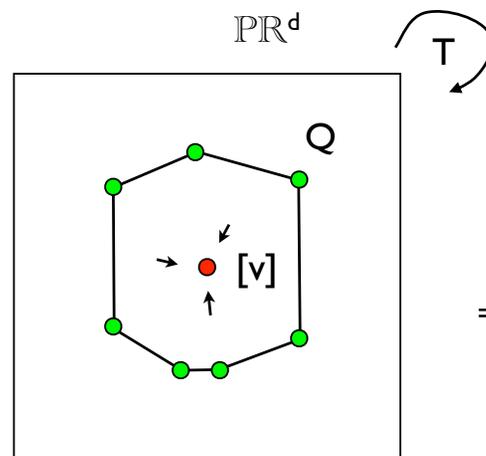
Perron-Frobenius means
 $P_{ij} \geq 0$
 and $P^k_{ij} > 0$ for some k .

Constructing P from λ

$\deg(\lambda) = d$

T in $M_d(\mathbb{Z})$

$$T v = \lambda v$$



find convex n -gon Q ,
 $T(Q) \subset Q$

\Rightarrow PF matrix P in $M_n(\mathbb{R})$,
 $\rho(P) = \lambda$.

Even for cubic λ , we may be forced
 to take $n \gg 0$.

(M, Lind)

Theorem (Thurston)

There exists a post-critically finite
 map $f : I \rightarrow I$ with entropy $h(f) = \log(\lambda)$

\Leftrightarrow

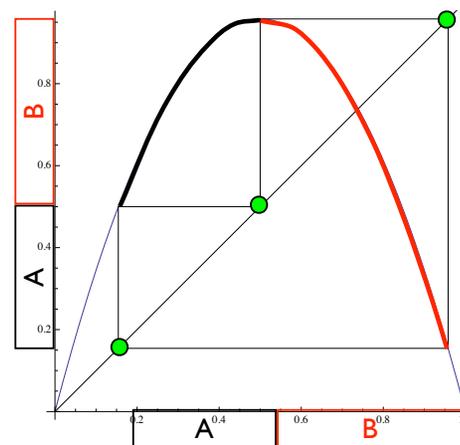
λ is a (weak) Perron number.

Why is entropy is Perron? Markov partitions

=unifies=

PCF multimodal maps
 pseudo-Anosov maps
 automorphisms of free groups

Golden mean example



$A \rightleftarrows B$

$$P = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$$

$$\lambda = \rho(P) = \frac{1 + \sqrt{5}}{2}$$

For any pcf f , $h(f) = \log(\text{Perron})$

Constructing f from λ

For any Perron number λ ,
there exists an $f : I \rightarrow I$ with $h(f) = \log(\lambda)$

$$\{\text{Perron numbers } \lambda\} = \bigcup M_k$$

$$M_k = \{\lambda \text{ arising from } f \text{ with } k \text{ laps}\}$$

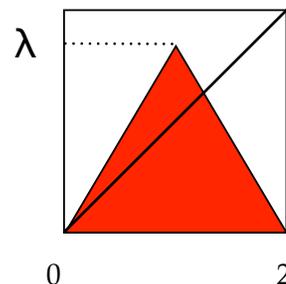
STABLE

At any stage in the construction one can
increase # laps or size of post-critical set.

[Proof. First step is Lind's theorem.]

Quadratic Entropy Problem **UNSTABLE**

Describe $M_2 = \{\lambda \text{ arising from quadratic } f\}$.



λ is in $M_2 \Leftrightarrow 0$ has finite orbit
under $T_\lambda(x) = \lambda(1 - |1-x|)$

Q. Does there exist
an algorithm to test
if λ is not in M_2 ?

Known: Pisots $\subset M_2$

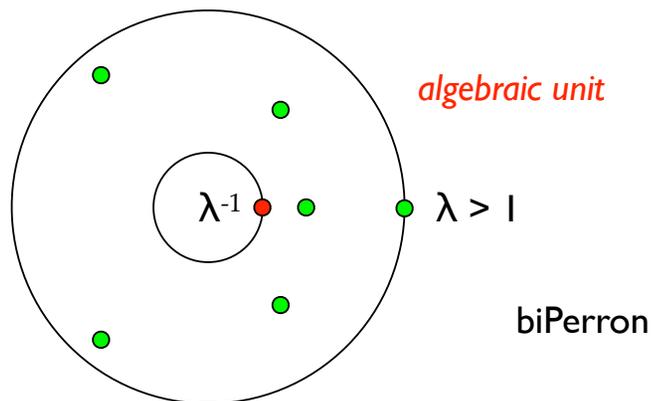
Unknown (e.g.): Is Lehmer's number in M_2 ?

Surface Entropy Conjecture **STABLE (open)**

There exists a pseudo-Anosov map

$f : S \rightarrow S$ with $h(f) = \log(\lambda)$ (Fried 1985, Thurston)

$\Leftrightarrow \lambda$ is a biPerron number.



Minimum entropy problem for surfaces

$\log(\delta_g) = \min \{h(f) : f \text{ pseudo-Anosov on a surface of genus } g\}$

= length of shortest geodesic on M_g .

Example: $\delta_1 = \text{root of } t^2 - 3t + 1$ $\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$

$\delta_2 = \text{root of } t^4 - t^3 - t^2 - t + 1$
(Cho-Ham)
(Lanneau-Thiffeault)

Problem: Determine δ_g for all g .

Minimum entropy problem for surfaces

Known: $\delta_g = 1 + O(1/g)$ (Penner, 1991)

Question: Does $\lim (\delta_g)^g$ exist? (M, 2000)

Conjecture: $\lim (\delta_g)^g = \delta_1$ (E. Hironaka, 2010)

Theorem: $\limsup (\delta_g)^g \leq \delta_1$

(Aaber-Dunfield, Kin, ...)

Q. Where do f with $h(f) = O(1/g)$ come from?

A. Fibered 3-manifolds.

$M =$ hyperbolic 3-manifold fibering over S^1 ,
 $b_1(M) > 1$

$\Rightarrow M$ fibers in infinitely many ways

\Rightarrow infinitely many $f : S \rightarrow S$ whose
 mapping torus is M .

- Among these are f with $h(f) = O(1/g)$ (M, 2000)
- All such f arise from finitely many M .

(Farb-Leininger-Margalit, 2011)

A manifold M from δ_1 (E. Hironaka, 2010)

$$\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$$

$$= \text{Mod}_1 \cong \text{Mod}_{0,4}$$



Braids on 3 strands



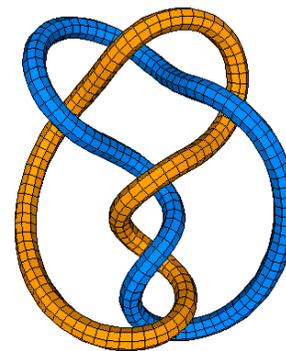
$M^3 = S^3 - L$ fibers over S^1

Entropy of other fibrations $M^3 \rightarrow S^1$

Teichmüller polynomial

$$M^3 = S^3 - L$$

$H_1(M)$



	-1	
1	-1	1
	-1	

$$t^2 - 3t + 1$$

$$L_{2g}(t) = t^{2g} - t^g(t+1+t^{-1}) + 1$$

Teichmüller polynomial: specializations

$$L_4(t) = t^4 - t^3 - t^2 - t + 1$$

⇒ minimal genus 2 example

$$L_{2g}(t) \Rightarrow \text{genus } g \text{ examples with } \delta(f)^g \sim \delta_1, \\ \text{in agreement with conjecture}$$

$$L_{2g}(t) = t^{2g} - X^g(X+1+X^{-1}) + 1 \approx t^{2g} - 3t^g + 1$$

Conclusion: $\limsup (\delta_g)^g \leq \delta_1$

Remaining problem: lower bounds for δ_g .

Is there a more tractable, algebraic problem?

$$[f : S \rightarrow S] \Rightarrow$$

$$\text{train track map } [F : \tau \rightarrow \tau] \Rightarrow$$

$$\text{Perron-Frobenius } P, \delta(f) = \rho(P).$$

Symplectic structure on $\mathbb{P}ML \Rightarrow P$ is reciprocal.

(eigenvalues invariant under $t \rightarrow 1/t$)

Q. Can we give a lower bound on $\rho(P)$?

Minimum entropy problem for graphs

Theorem (M, 2013)

Min $\rho(P) : P$ in $M_{2g}(\mathbb{Z})$ is reciprocal
and Perron-Frobenius

=

Largest root of

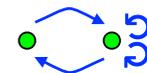
$$L_{2g}(t) = t^{2g} - t^g(t+1+t^{-1}) + 1$$

Corollary

$$\rho(P)^g \geq \delta_1 \text{ for all } P \text{ and } g.$$

Scheme of the proof

P in $M_n(\mathbb{Z})$, Perron-Frobenius
⇒ metrized directed graph (Γ, l)



$$\#(\text{closed loops} \leq T) \asymp \rho(P)^T$$

Optimal metric on $\Gamma \Rightarrow$ invariant $\lambda(\Gamma)$

$$\rho(P)^n \geq \lambda(\Gamma)$$

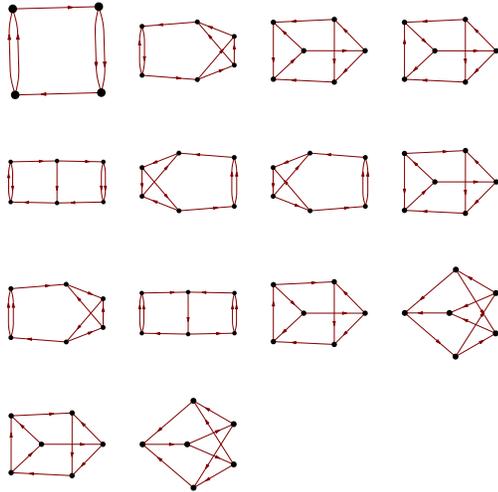
• Fact: $\{\Gamma : \lambda(\Gamma) < M\}$ is finite

Case at hand, take $M=8$.

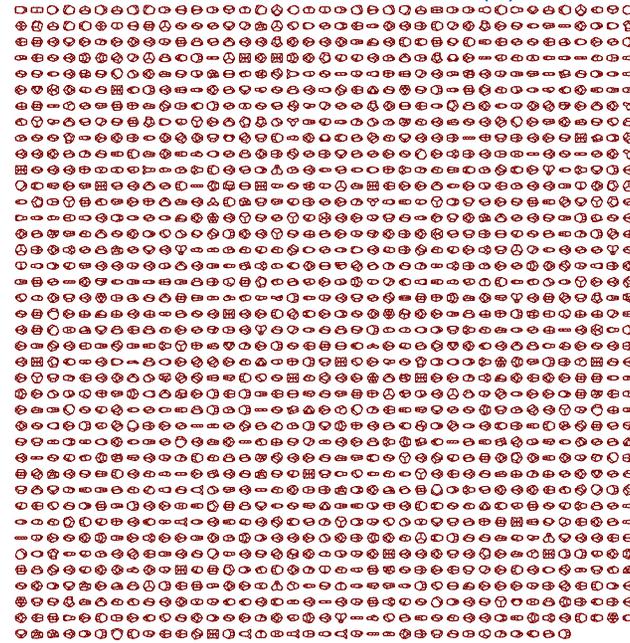
$$(\delta_1)^2 = (\text{golden ratio})^4 = 6.854\dots$$

Scheme of the proof

Analyze each $\Gamma : \lambda(\Gamma) < 8$. (2009)



1556 different Γ with $\lambda(\Gamma) \leq 6$



Scheme of the proof

(2013)

$\Gamma \Rightarrow$ curve complex G

$V(G) = \{\text{simple loops } C \text{ in } \Gamma\}$
 $E(G) = \{\text{disjoint } (C, D)\}$

- G carries key info of Γ
- New invariant: $\lambda(\Gamma) \geq \lambda(G)$
- $\{G : \lambda(G) < 8\} = \{ \}$

(RAAGs, Cartier-Foata 1968)
(Birman, 2011)

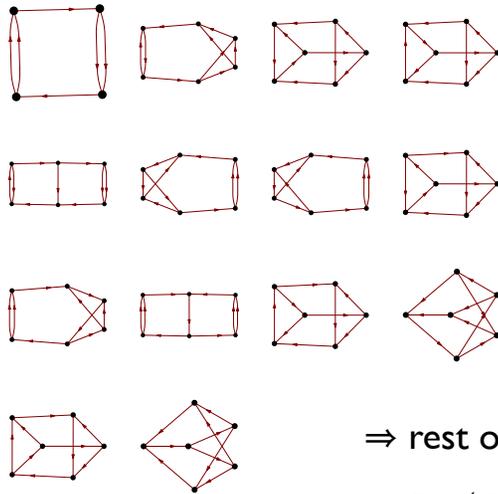
List of G with $\lambda(G) < 8$

G		$\lambda(G)$
nA_1		$2 \leq n \leq 7$
A_2^*		4
A_2^{**}		$5.82\dots = 3+2\sqrt{2}$
A_2^{***}		$7.46\dots = 4+2\sqrt{3}$
A_3^*		$5.82\dots = 3+2\sqrt{2}$
Y^*		$7.46\dots = 4+2\sqrt{3}$

G	$2A_1$	$3A_1$	$4A_1$	$5A_1$	$6A_1$	$7A_1$	A_2^*	A_2^{**}	A_2^{***}	A_3^*	Y^*
$\#\Gamma$	1	2	14	119	1556	26286	1	5	42	5	42

Table 2. Number of trivalent Γ with a given curve complex G .

Example: These Γ all have $G = 4A_1 = \bullet \bullet \bullet \bullet$



\Rightarrow rest of proof is
now tractable.

Concluding Remarks

M : Teichmüller polynomial

Γ : Perron polynomial

G : Clique polynomial

thermodynamic formalism

convexity of pressure

Problem: Determine δ_g for all g ?

SEMISTABLE

EPILOGUE

SPOKEN BY PROSPERO

Now my charms are all o'erthrown,

* * *

Gentle breath of yours my sails
Must fill, or else my project fails,
Which was to please.

*Better for more people to be involved...
it's a fun and interesting topic.*