

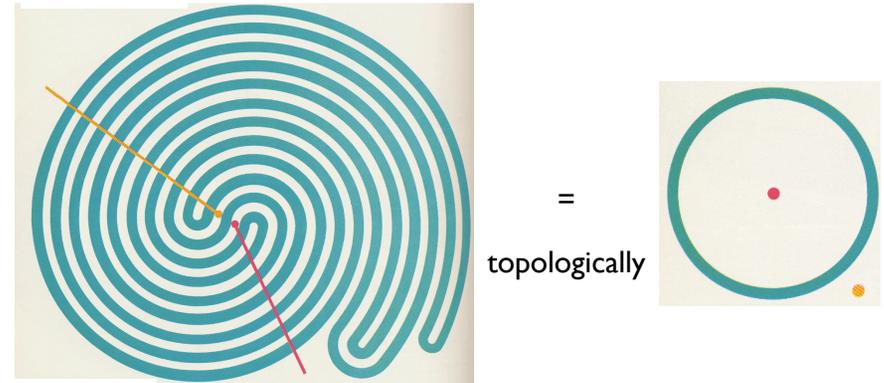
# Manifolds, topology and dynamics

*Milnor's work and subsequent developments*

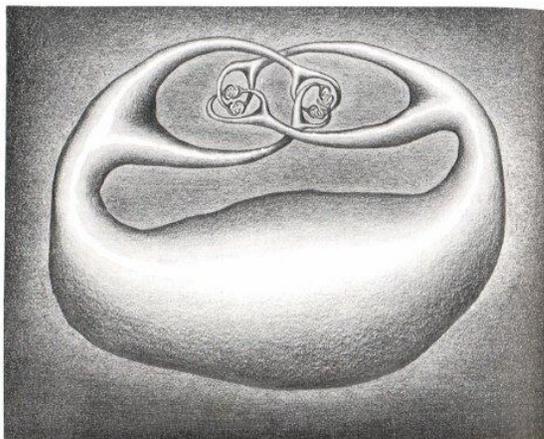
C. McMullen

Can you knot a circle in the plane?

No



Can you knot a sphere in space?



Yes  
1924  
J.W. Alexander

A knotted  
topological  
 $S^2$  in  $S^3$

No  
for smooth  
spheres

FIG. 4-11. The Alexander horned sphere.



Aiguille du Drus (Chamonix)

1926 deRham and Alexander  
exchange ice axes

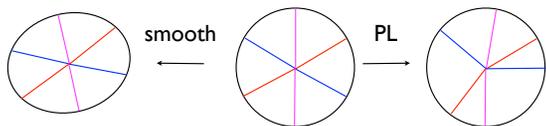
1933 deRham runs into  
Alexander and Whitney  
Weisshorn, Valais

1956 Whitney and Milnor  
climb Ixtaccihuatl

1966 deRham and Milnor  
climb south ridge of  
Stockhorn

### Smooth spheres (Milnor, 1956)

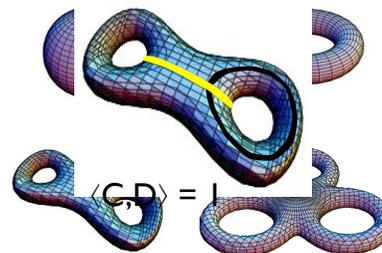
There exists a smooth manifold  $M^7$  that is homeomorphic but not diffeomorphic to  $S^7$ .



⇒ Smooth *Poincaré conjecture* is *false* in high dimensions:

$\pi_1(M^n) = \pi_1(S^n)$  and  $H_i(M^n) = H_i(S^n)$   
does not guarantee  $M^n$  is diffeomorphic to  $S^n$ .

### Classification of Manifolds



#### Surfaces

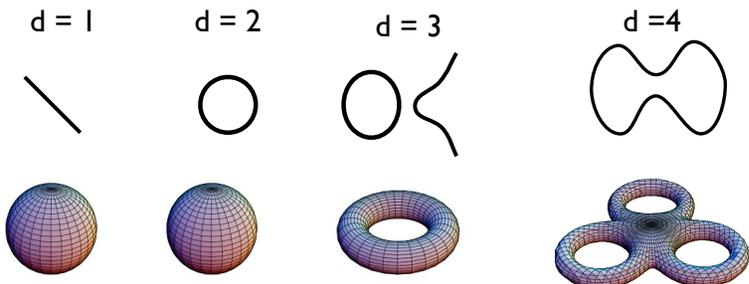
A manifold of dimension 2 is determined by the inner product space  $H^1(M^2, \mathbb{Z}/2)$ .

#### 4 manifolds (Milnor, 1958)

An oriented simply-connected 4-manifold is determined up to homotopy equivalence by the inner product space  $H^2(M^4, \mathbb{Z})$ .

### Where do manifolds come from?

$M^2(d) \subset \mathbb{C}^2$  given by polynomial of degree  $d$ , e.g.  $x^d + y^d = 1$   
(then compactified)



### 4-manifolds from polynomials

$M^4(d) \subset \mathbb{C}^3$  given by polynomial of degree  $d$ , e.g.  $x^d + y^d + z^d = 1$

	$d = 1$	$d = 2$	$d = 3$	$d = 4$
$M^4$	$\mathbb{C}P^2$	$S^2 \times S^2$	$\mathbb{C}P^2 \# 6 \overline{\mathbb{C}P^2}$	K3 Surface
$H^2(M^4)$	[1]	$H = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$	$[1] \oplus 6[-1]$	$2E_8 \oplus 3H$
	$I_1$	$II_{1,1}$	$I_{1,6}$	$II_{3,19}$

## Classification of simply-connected 4-manifolds

(Freedman, Donaldson, early 1980s)

### Topological

Any inner product space can arise as  $H^2(M^4, \mathbb{Z})$ ,  
in at most 2 ways.

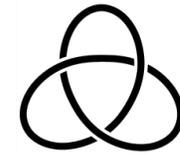
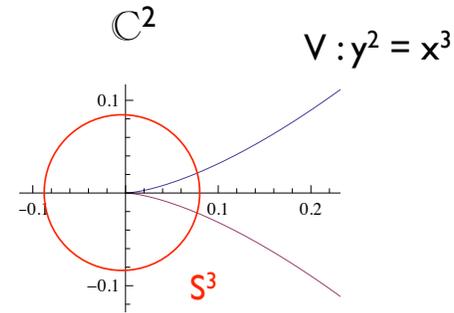
### Smooth

There exist topological  $M^4$  with infinitely  
many smooth structures.

### Conjecture

Connect sums of  $\mathbb{C}P^2$ ,  $S^2 \times S^2$ , and K3 surfaces give all  
the homotopy types for smooth  $M^4$ .

## Singularities and Knots



$$K^1 = S^3 \cap V \text{ (Milnor link)}$$

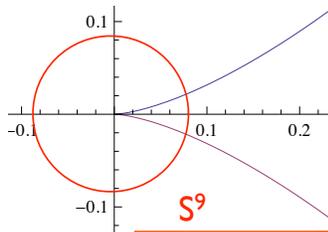
is an ordinary  $S^1$

$d=1$ : Knottedness detects singularity

$d=2$  (Milnor, 1975):  $K^3 = G^3/\Gamma$  is always a geometric 3-manifold.

## Singularities and Spheres

$$\mathbb{C}^5 \quad V: y^2 = x_1^2 + x_2^2 + x_3^3 + x_4^5$$



The Milnor link

$$K = S^9 \cap V \text{ is an exotic } S^7$$

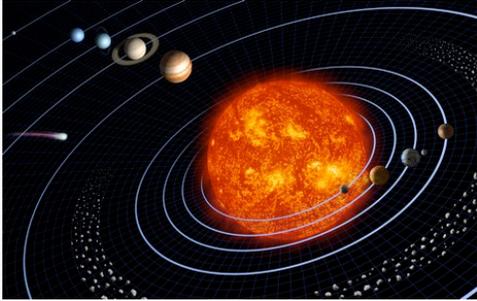
(see:

SINGULAR POINTS  
OF COMPLEX  
HYPERSURFACES

BY  
John Milnor



## Dynamical systems

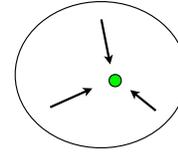


*Poincaré*

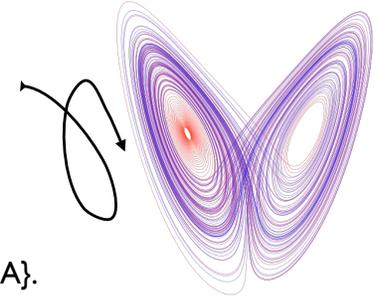
$f : M \rightarrow M$  continuous, smooth, analytic...

Study evolution of orbits:  $x \rightarrow f(x) \rightarrow \dots \rightarrow f^n(x)$

## Attractors



attracting point



strange attractor

$A \subset M$  closed.  $B(A) = \{x : f^n(x) \rightarrow A\}$ .

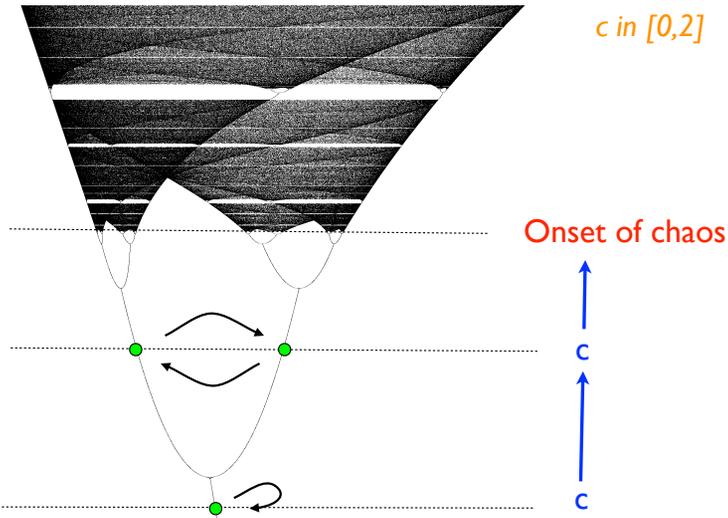
*Milnor, 1985:*

$A \subset M$  is an *attractor* if  $B(A)$  has positive measure, and  $B(E)$  has less measure for any smaller  $E \subset A$ .

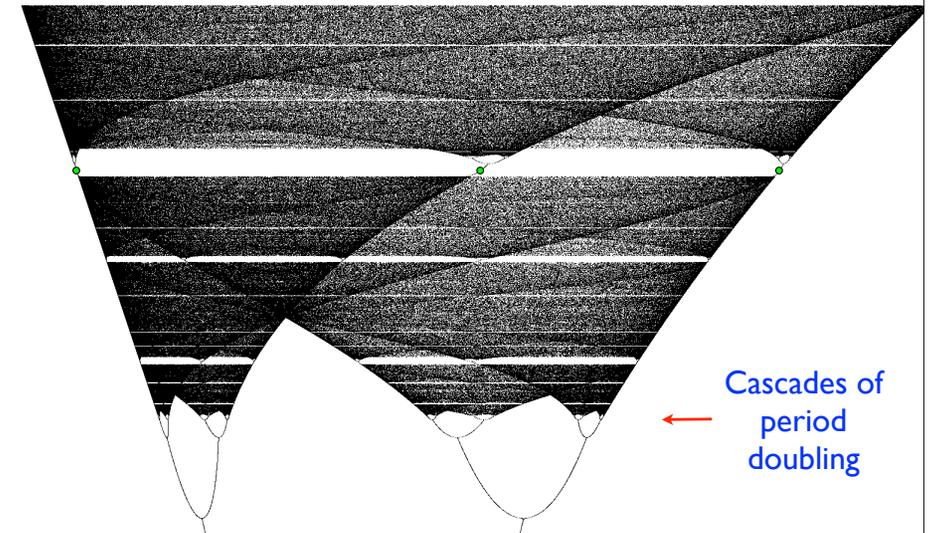
Experiment:  $f(x) = x^2 - c$      $M = [-c, c]$

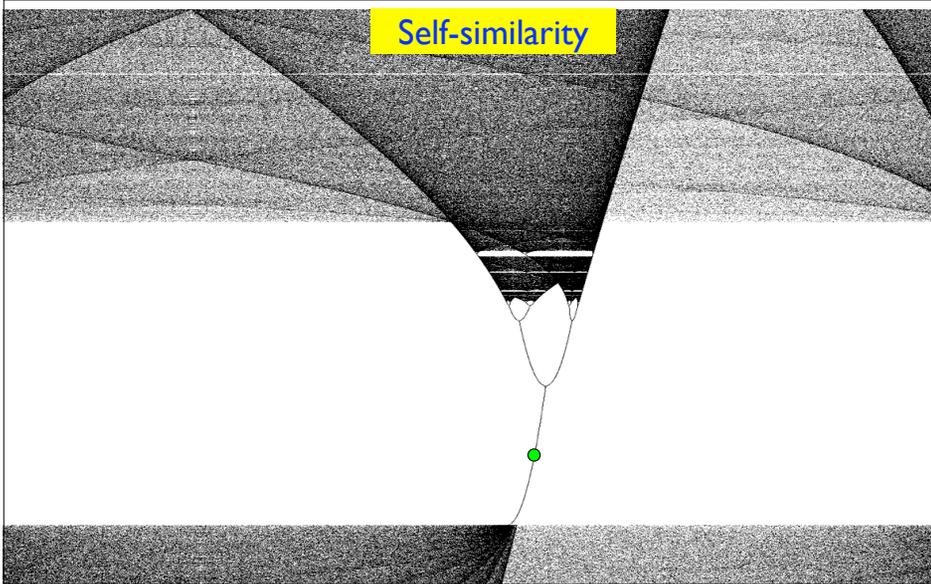
$c$  in  $[0, 2]$

Attractor  $A_c$



Chaotic behavior    Islands of calm    Phase transitions





### Topological maps $f : [a,b] \rightarrow [a,b]$

Milnor - Thurston  
1977-83

$\ell(f) = 2$

$\ell(f) = 4$

- \* Kneading invariant  $\epsilon_k = \text{sign } f^k(0)$
- \* Topological dynamics
- \* Lap numbers / entropy
- \* Zeta function/fixed pts of  $f^n$

*Shows each topological  $f$  has a smooth structure.*

$\ell(f) = 22$

$\ell(f) = 32$

$\zeta(t) = \exp \sum [\# \text{ fixed pts of } f^n] t^n/n$

$D(t) = \sum \epsilon_1 \epsilon_2 \dots \epsilon_n t^n$

$\zeta(t) = 1/D(t)$

*Explains self-similarity*

### The Mandelbrot set

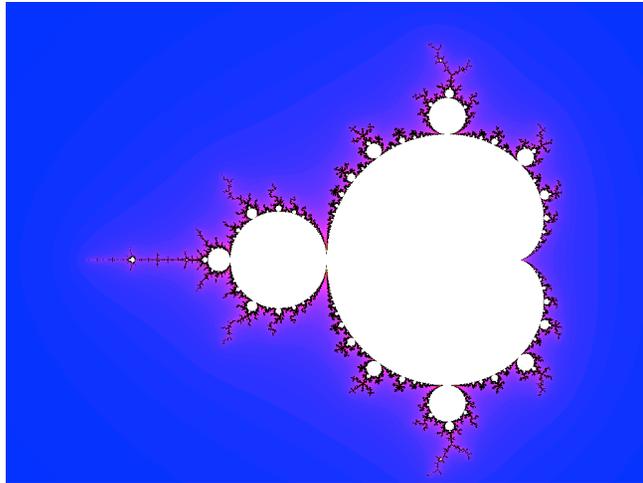
$f_c(z) = z^2 + c$

$M = \{c : \text{orbit of } z=0 \text{ is bounded}\}.$

*(otherwise no attractor in  $\mathbb{C}$ )*

### Real points in M

*Milnor, 1986:* Self-similarity of  $M$

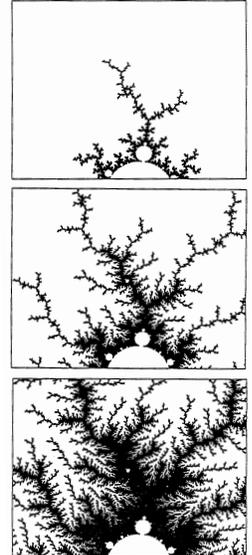
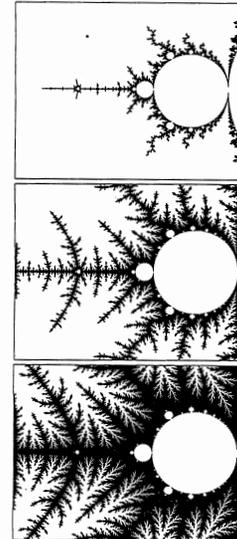


## Renormalization

*Conjecture: Milnor, 1986*

*The magnifications of  $M$  near any fixed-point of renormalization  $c$  are self-similar and fill the plane.*

*Theorem: Lyubich, 1999 for  $c$  in  $\mathbb{R}$ .*



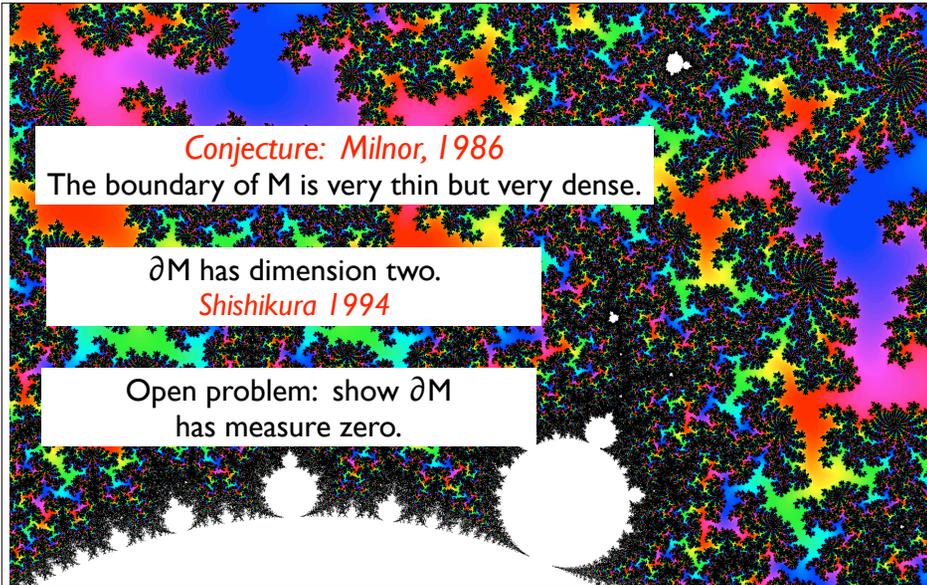
*Conjecture: Milnor, 1986*

The boundary of  $M$  is very thin but very dense.

$\partial M$  has dimension two.

*Shishikura 1994*

Open problem: show  $\partial M$  has measure zero.



## Other Frontiers in Complex Dynamics

\* cubic polynomials, quadratic maps  $f: \mathbb{P}^1 \rightarrow \mathbb{P}^1$

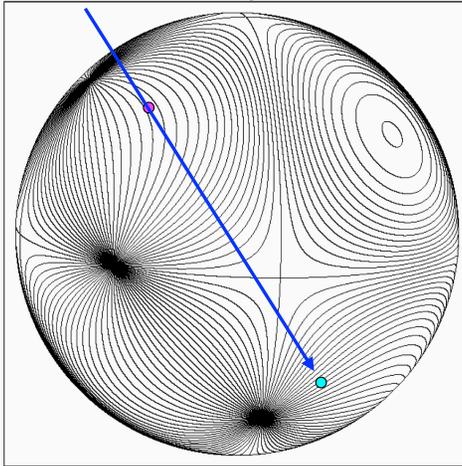
\* polynomial maps  $f: \mathbb{C}^2 \rightarrow \mathbb{C}^2$

\* higher degree maps  $f: \mathbb{P}^2 \rightarrow \mathbb{P}^2$

\* automorphisms of complex surfaces  $f: M \rightarrow M$

Bonifant-Dabija-Milnor  
2007:

Maps on  $\mathbb{P}^2$  with  
invariant elliptic curves

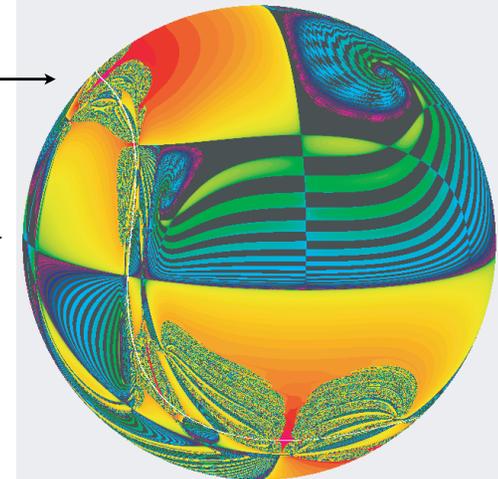


foliation by  
cubic curves

Attracting behavior of  $CuL_i$ 's

Fermat  
cubic C

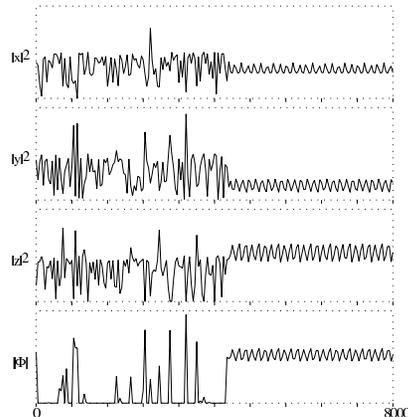
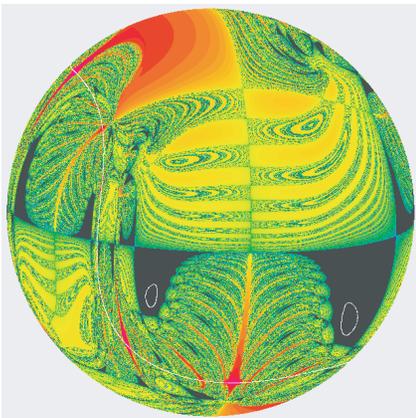
Equator L



illustrations  
from BDM  
2007

Attracting Herman rings?

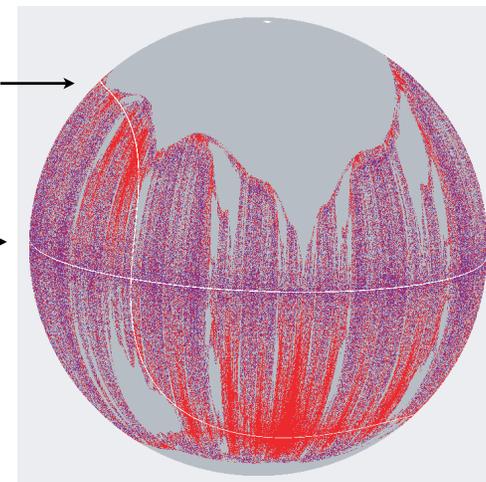
Typical orbit over  $\mathbb{C}$



Intermingled basins

Fermat  
cubic C

Equator L



basins of  
L, C have  
positive  
measure  
and same  
closure!

## Automorphisms of projective surfaces M

*Cantat, 1999*

Entropy  $h(f: M \rightarrow M) > 0 \Rightarrow$

$M$  is a complex torus, blowup of  $\mathbb{C}P^2$ , or K3 surface.

Minimum  
entropy?

Torus:  $h(f) = \log(\lambda_4 = 1.722\dots)$ .

Blowup of  $\mathbb{C}P^2$  (Bedford-Kim, 2006):  $h(f) = \log(\lambda_{10} = 1.176\dots)$ .

K3 Surface (M, 2011):  $h(f) = \log(\lambda_{10})$ .

- Hodge theory and quadratic forms over number fields and local rings to construct

$$f^* \cup H^2(M, \mathbb{Z}) \otimes \mathbb{C} = H^{2,0} \oplus H^{1,1} \oplus H^{0,2}$$

