

**Course Outline**  
Complex Analysis  
Math 205, Fall 1993, Berkeley CA  
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Texts:

- Carathéodory, *Theory of Functions*, vols. I and II (Chelsea)  
Conway, *Functions of One Complex Variable* (Springer-Verlag)  
Nehari, *Conformal Mapping* (Dover)  
Ahlfors, *Complex Analysis* (McGraw-Hill)  
Titchmarsh, *Theory of Functions* (Cambridge)

1. Elements of complex analysis:  $\mathbb{C} = \mathbb{R}[i]$ ,  $\bar{z}$  (and related examples for  $\mathbb{Q}[\sqrt{2}]$ ), visualizing  $e^z = \lim(1 + z/n)^n$ . A pie slice centered at  $-n$  and with angle  $\pi/n$  is mapped to the upper semi-circle; in the limit we find  $\exp(\pi i) = -1$ .
2. Definition:  $f(z)$  is analytic if  $f'(z)$  exists. Note: we do not require continuity of  $f'$ ! Showing  $f(z)dz$  is a closed form, when  $f$  is holomorphic, assuming  $f(z)$  is smooth. Note that for  $f$  holomorphic,  $f'(z) = df/dx = (1/i)df/dy = df/dz$ , where  $d/dz = 1/2(d/dx + (1/i)d/dy)$ .

Goursat's proof of Cauchy's integral formula assuming only complex differentiability.

3. Analyticity and power series. The fundamental integral  $\int_{\gamma} dz/z$ . The fundamental power series  $1/(1-z) = \sum z^n$ . Put these together with Cauchy's theorem,

$$f(z) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(\zeta)d\zeta}{\zeta - z},$$

to get a power series.

Theorem:  $f(z) = \sum a_n z^n$  has a singularity (where it cannot be analytically continued) on its circle of convergence  $|z| = R = 1/\limsup |a_n|^{1/n}$ .

4. Cauchy's bound  $|f^{(n)}(0)| \leq n!M(R)/R^n$ . Liouville's theorem; algebraic completeness of  $\mathbb{C}$ . Parseval's inequality (which implies Cauchy's:)

$$\sum |a_n|^2 R^2 = \frac{1}{2\pi} \int_{|z|=R} |f(z)|^2 d\theta \leq M(R)^2.$$

5. Morera's theorem (converse to Cauchy's theorem). Definition of  $\log(z) = \int_1^z d\zeta/\zeta$ . Analytic continuation, natural boundaries,  $\sum a_n z^{n!}$ . Laurent series:  $f(z) = \sum_{-\infty}^{\infty} a_n z^n$  where  $a_n = (1/2\pi i) \int_C f(z)/z^{n+1} dz$ . Classification of isolated singularities; removability of singularities of bounded functions. Behavior near an essential singularity (Weierstrass-Casorati):  $\overline{f(U)} = \mathbb{C}$ .
6. Hardy's paper on  $\int \sin(x)/x dx$ . Generating functions and  $\sum F_n z^n$ ,  $F_n$  the  $n$ th Fibonacci number. A power series represents a rational function iff its coefficients satisfy a recurrence relation. Kronecker's theorem: one need only check that the determinants of the matrices  $a_{i,i+j}$ ,  $0 \leq i, j \leq n$  are zero for all  $n$  sufficiently large.
7. Residue theorem and evaluation of definite integrals. Three types:  $\int_0^{2\pi} R(\cos \theta, \sin \theta) d\theta$ ,  $\int_{-\infty}^{\infty} R(x) dx$ , and  $\int_0^{\infty} x^a R(x) dx$ ,  $0 < a < 1$ ,  $R$  a rational function.
8. The argument principle: number of zeros - number of poles is equal to

$$\frac{1}{2\pi i} \int_{\partial\Omega} \frac{f'(\zeta)}{f(\zeta)} d\zeta.$$

Similarly, the weighted sum of  $g(z)$  over the zeros and poles is given by multiplying the integrand by  $g(\zeta)$ .

9. Rouché's theorem: if  $|g| < |f|$  on  $\partial\Omega$ , then  $f + g$  and  $f$  have the same number of zeros-poles in  $\Omega$ .
10. Open mapping theorem: if  $f$  is nonconstant, then it sends open sets to open sets. Cor: the maximum principle ( $|f|$  achieves its maximum on the boundary).
11. Invertibility. (a) If  $f : U \rightarrow V$  is injective and analytic, then  $f^{-1}$  is analytic. (b) If  $f'(z) \neq 0$  then  $f$  is locally injective at  $z$ . Formal inversion of power series.
12. Phragmen -Lindelöf type results: if  $f$  is bounded in a strip  $\{a < \text{Im}(z) < b\}$ , and  $f$  is continuous on the boundary, then the sup on the boundary is the sup on the interior.

13. Hadamard's 3-circles theorem: if  $f$  is analytic in an annulus, then  $\log M(r)$  is a convex function of  $\log r$ , where  $M(r)$  is the sup of  $|f|$  over  $|z| = r$ . Proof: a function  $\phi(s)$  of one real variable is convex if and only if  $\phi(s) + as$  satisfies the maximum principle for any constant  $a$ . This holds for  $\log M(\exp(s))$  by considering  $f(z)z^a$  locally.
14. Möbius transformations: invertible, form a group, act by automorphisms of  $\widehat{\mathbb{C}}$ , triply-transitive, sends circles to circles. Proof of last: a circle  $x^2 + y^2 + Ax + By + C = 0$  is also given by  $r^2 + r(A \cos \theta + B \sin \theta) + C = 0$ , and it is easy to transform the latter under  $z \mapsto 1/z$ , which replaces  $r$  by  $1/\rho$  and  $\theta$  by  $-\alpha$ .
15. Stereographic projection preserves circles and angles. Proof for angles: given an angle on the sphere, construct a pair of circles through the north pole meeting at that angle. These circles meet in the same angle at the pole; on the other hand, each circle is the intersection of the sphere with a plane. These planes meet  $\mathbb{C}$  in the same angle they meet a plane tangent to the sphere at the north pole, QED.
16. Four views of  $\widehat{\mathbb{C}}$ : the extended complex plane; the Riemann sphere; the Riemann surface obtained by gluing together two disks with  $z \mapsto 1/z$ ; the projective plane for  $\mathbb{C}^2$ .
17. The spherical metric  $2|dz|/(1 + |z|^2)$ .
18. Theorem:  $\text{Aut}(\widehat{\mathbb{C}}) = PSL_2(\mathbb{C})$ . A particularly nice realization of this action is as the projectivization of the linear action on  $\mathbb{C}^2$ .
19. Theorem:  $\text{Aut}(\mathbb{C}) = \{az + b\}$ .
20. Classification of Möbius transformations and their trace squared: (a) identity, 4; (b) parabolic (a single fixed point) 4; (c) elliptic (two fixed points, derivative of modulus one)  $[0, 4)$ ; (d) hyperbolic (two fixed points, one attracting and one repelling)  $\mathbb{C} - [0, 4]$ .
21. Classification of Möbius transformation up to conjugacy: determined by trace except for the identity.
22. Theorem:  $\text{Aut}(\mathbb{H}) = PSL_2(\mathbb{R})$ . Schwarz Lemma and automorphisms of the disk. The hyperbolic metric  $|dz|/\text{Im}(z)$  on  $\mathbb{H}$ , and its equivalence to  $2|dz|/(1 - |z|^2)$  on  $\Delta$ .

23. Hyperbolic geometry: geodesics are circles perpendicular to the circle at infinity. Euclid's fifth postulate (given a line and a point not on the line, there is a unique parallel through the point. Here two lines are parallel if they are disjoint.)
24. Riemann surfaces and holomorphic 1-forms. The naturality of the residue, and of  $df$ .
25. Holomorphic maps between Riemann surfaces. A nonconstant map between compact surfaces is surjective.
26. The Residue Theorem: the sum of the residues of a meromorphic 1-form on a compact Riemann surface is zero. Application to  $df/f$ , and thereby to the degree of a meromorphic function.
27. Harmonic functions. A real-value function  $u(z)$  is harmonic iff  $u$  is locally the real part of an analytic function. Harmonic functions are preserved under analytic mappings. Examples: electric potential; fluid flow around a cylinder.
28. Normal families: any bounded family of analytic functions is normal, by Arzela-Ascoli.
29. Riemann mapping theorem: given a simply-connected region  $U \subset \mathbb{C}$ ,  $U \neq \mathbb{C}$ , and a basepoint  $u \in U$ , there is a unique conformal homeomorphism  $f : (U, u) \rightarrow (\Delta, 0)$  such that  $f'(u) > 0$ . Proof: let  $\mathcal{F}$  be the family of univalent maps  $(U, u) \rightarrow (\Delta, 0)$ . Using a square-root and an inversion, show  $\mathcal{F}$  is nonempty. Also  $\mathcal{F}$  is closed under limits. By the Schwarz Lemma,  $|f'(u)|$  has a finite maximum over all  $f \in \mathcal{F}$ . Let  $f$  be a maximizing function. If  $f$  is not surjective to the disk, then we can apply a suitable composition of a square-root and two automorphisms of the disk to get a  $g \in \mathcal{F}$  with  $|g'(u)| > |f'(u)|$ , again using the Schwarz Lemma. QED.
30. Uniformization of annuli: any doubly-connected region in the sphere is conformal isomorphic to  $\mathbb{C}^*$ ,  $\Delta^*$  or  $A(R) = \{z : 1 < |z| < R\}$ .
31. The class  $S$  of univalent maps  $f : \Delta \rightarrow \mathbb{C}$  such that  $f(0) = 0$  and  $f'(0) = 1$ . Compactness of  $S$ . The Bieberbach Conjecture/de Brange Theorem:  $f(z) = \sum a_n z^n$  with  $|a_n| \leq n$ .

32. The area theorem: if  $f(z) = z + \sum b_n/z_n$  is univalent on  $\{z : |z| > 1\}$ , then  $\sum n|b_n|^2 < 1$ . The proof is by integrating  $\bar{f}df$  over the unit circle and observing that the result is proportional to the area of the complement of the image of  $f$ .
33. Proof that  $|a_2| \leq 2$ : first, apply the area theorem to conclude  $|a_2^2 - a_3| \leq 1$ . Then consider  $\sqrt{f(z^2)}$  for  $f \in S$ .
34. The Koebe 1/4 Theorem: if  $f \in S$  then  $f(\Delta) \supset \Delta(1/4)$ . Proof: if  $w$  is omitted from the image, then  $f(z)/(1 - f(z)/w) \in S$ ; now apply  $|a_2| \leq 2$ .
35. The length-area method. Let  $f : R(a, b) \rightarrow Q$  be a conformal map of a rectangle to a Jordan region  $Q \subset \mathbb{C}$ , where  $R(a, b) = [0, a] \times [0, b] \subset \mathbb{C}$ . Then there is a horizontal line  $[0, a] \times \{y\}$  whose image has length  $L^2 \leq (a/b) \text{area}(Q)$ . Similarly for vertical lines.
- Corollary: given any quadrilateral  $Q$ , the product of the minimum distances between opposite sides is a lower bound for  $\text{area}(Q)$ .
36. Theorem: The Riemann map to a Jordan domain extends to a homeomorphism on the closed disk. Proof: given a point  $z \in \partial\Delta$ , map  $\Delta$  to an infinite strip, sending  $z$  to one end. Then there is a sequence of disjoint squares in the strip tending towards that end. The images of these squares have areas tending to zero, so there are cross-cuts whose lengths tend to zero as well, by the length-area inequality. This gives continuity at  $z$ . Injectivity is by contradiction: if the map is not injective, then it is constant on some interval along the boundary of the disk.
37. The Schwarz reflection principle.
38. The Schwarz-Christoffel formula. Let  $f : \mathbb{H} \rightarrow U$  be the Riemann mapping to a polygon with vertices  $p_i, i = 1, \dots, n$  and exterior angles  $\pi\mu_i$ . Then

$$f(z) = \alpha \int \frac{d\zeta}{\prod_1^n (\zeta - q_i)^{\mu_i}} d\zeta + \beta,$$

where  $f(q_i) = p_i$ .

Proof: compute the nonlinearity  $N(f) = f''(z)/f'(z)dz$ . By Schwarz reflection, it extends to a meromorphic 1-form on the sphere with simple

poles at the  $q_i$ . Using the fact that  $z^{\pi(1-\mu_i)}$  straightens out the  $i$ th vertex of  $U$ , one finds that  $N(f)$  has residue  $-\mu_i$  at  $q_i$ . Since a 1-form is determined by its singularities, we have

$$N(f) = \sum \frac{-\mu_i dz}{(z - q_i)},$$

and the formula results by integration.

39. Remarks on 1-forms: a holomorphic 1-form on the sphere is zero, because it integrates to a global analytic function. Moreover a meromorphic 1-form on the sphere always has 2 more poles than zeros.
40. Examples of Schwarz-Christoffel:  $\log(z) = \int d\zeta/\zeta$  (maps to a bigon with external angles of  $\pi$ );  $\sin^{-1}(z) = \int d\zeta/\sqrt{1-\zeta^2}$  (maps to a triangle with external angles  $\pi/2$ ,  $\pi/2$  and  $\pi$ .)
41. Weierstrass/Hadamard factorization theory. (A good reference for this material is Titchmarsh, *Theory of Functions*). We will examine the extent to which an entire function is determined by its zeros. We begin by showing that any discrete set in  $\mathbb{C}$  arises as the zeros of an entire function.
42. Weierstrass factor. Inspired by the fact that  $(1-z) \exp \log 1/(1-z) = 1$  and that  $\log 1/(1-z) = z + z^2/2 + z^3/3 + \dots$ , we set

$$E_p(z) = (1-z) \exp \left( z + \frac{z^2}{2} + \dots + \frac{z^p}{p} \right).$$

By convention  $E_0(z) = (1-z)$ .

Theorem: For  $|z| < 1$ , we have  $|E_p(z) - 1| \leq |z|^{p+1}$ .

Proof: Writing  $E_p(z) = 1 + \sum a_k z^k$ , one may check (by computing  $E_p'(z)$ ) that all  $a_k < 0$ ,  $\sum |a_k| = 1$ , and  $a_1 = a_2 = \dots = a_p = 0$ . Then  $|E_p(z) - 1| = |\sum a_k z^k| \leq |z|^{p+1} \sum |a_k| \leq |z|^{p+1}$ . QED

43. Theorem: If  $\sum (r/|a_n|)^{p_n+1} < \infty$  for all  $r > 0$ , then  $f(z) = \prod E_{p_n}(z/a_n)$  converges to an entire function with zeros exactly at the  $a_n$ .

Cor: Since  $p_n = n$  works for any  $a_n \rightarrow \infty$ , we have shown any discrete set arises as the zeros of an entire function.

44. Blaschke products. Let  $f : \Delta \rightarrow \Delta$  be a proper map of degree  $d$ . Then

$$f(z) = e^{i\theta} \prod_1^d \left( \frac{z - a_i}{1 - \bar{a}_i z} \right)$$

where the  $a_i$  enumerate the zeros of  $f$ .

45. Jensen's formula. Let  $f(z)$  be holomorphic on the disk of radius  $R$  about the origin. Then the average of  $\log |f(z)|$  over the circle of radius  $R$  is given by:

$$\log |f(0)| + \sum_{f(z)=0; |z|<R} \log \frac{R}{|z|}.$$

Proof: Suffices to assume  $R = 1$ . Clear if  $f$  has no zeros, because  $\log |f(z)|$  is harmonic. Clear for a Blaschke factor  $(z - a)/(1 - \bar{a}z)$ . But the formula is true for  $fg$  if it is true for  $f$  and  $g$ , so we are done.

Cor: Let  $n(r)$  be the number of zeros of  $f$  inside the circle of radius  $r$ . Then

$$\int_0^R n(r) \frac{dr}{r} = \frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta - \log |f(0)|.$$

Remark: We have used the mean value property of harmonic functions. This holds for any harmonic function  $u$  on the disk by writing  $u = \operatorname{Re}(f)$ ,  $f$  holomorphic, and then applying Cauchy's integral formula for  $f(0)$ .

The physical idea of Jensen's formula is that  $\log |f|$  is the potential for a set of unit point charges at the zeros of  $f$ .

46. Entire functions of finite order. An entire function  $f : \mathbb{C} \rightarrow \mathbb{C}$  is of finite order if there is an  $A > 0$  such that  $|f(z)| = O(\exp |z|^A)$ . The least such  $A$  is the *order*  $\rho$  of  $f$ .

Examples: Polynomials have order 0;  $\sin(z)$ ,  $\cos(z)$ ,  $\exp(z)$  have order 1;  $\cos(\sqrt{z})$  has order  $1/2$ ;  $\exp(\exp(z))$  has infinite order.

47. Number of zeros. By Jensen's formula, if  $f$  has order  $\rho$ , then  $n(r) = O(r^{\rho+\epsilon})$ , where  $n(r)$  is the zero counting function for  $f$ . Corollary:  $\sum 1/|a_i|^{\rho+\epsilon} < \infty$ , where  $a_i$  enumerates the zeros of  $f$  (other than zero itself).

In other words,  $\rho(a_i) \leq \rho(f)$ , where  $\rho(a_i)$  is the exponent of convergence of the zeros of  $f$ , i.e. the least  $\rho$  such that  $\sum 1/|a_i|^{\rho+\epsilon} < \infty$ .

48. Definition: a *canonical product* is an entire function of the form

$$f(z) = z^m \prod E_p(z/a_i)$$

where  $p$  is the least integer such that  $\sum |z/a_i|^{p+1} < \infty$  for all  $z$ .

49. Hadamard's Factorization Theorem. Let  $f$  be an entire function of order  $\rho$ . Then  $f(z) = P(z) \exp Q(z)$ , where  $P$  is a canonical product with the same zeros as  $f$  and  $Q$  is a polynomial of degree less than or equal to  $\rho$ .

50. To prove Hadamard's theorem we develop two estimates. First, we show a canonical product  $P(z)$  is an entire function of order  $\rho = \rho(a_i)$ . This is the least order possible for the given zeros, by Jensen's theorem. Second, we show a canonical product has  $m(r) \geq \exp(-|z|^{\rho+\epsilon})$  for most radii  $r$ , where  $m(r)$  is the minimum of  $|f(z)|$  on the circle of radius  $r$ . More precisely, for any  $\epsilon > 0$ , this lower bound holds for all  $r$  outside a set of finite total length. In particular it holds for  $r$  arbitrarily large.

Given these facts, we observe that  $f(z)/P(z)$  is an entire function of order  $\rho$  with *no zeros*. Thus  $Q(z) = \log f(z)$  is an entire function satisfying  $\operatorname{Re} Q(z) = O(1 + |z|^{\rho+\epsilon})$ . This implies  $Q$  is a polynomial. Indeed, for any entire function  $f(z) = \sum a_n z^n$ , we have  $|a_n| r^n \leq \max(4A(r), 0) - 2 \operatorname{Re}(f(0))$ , where  $A(r) = \max \operatorname{Re} f(z)$  over the circle of radius  $r$ .

51. Example:

$$\sin(\pi z) = \pi z \prod_{n \neq 0} \left(1 - \frac{z^2}{n^2}\right).$$

Indeed, the right hand side is a canonical product, and  $\sin(\pi z)$  has order one, so the formula is correct up to a factor  $\exp Q(z)$  where  $Q(z)$  has degree one. But since  $\sin(\pi z)$  is odd, we conclude  $Q$  has degree zero, and by checking the derivative at  $z = 0$  of both sides we get  $Q = 0$ .

52. Little Picard Theorem. A nonconstant entire function omits at most one value in the complex plane. (This is sharp as shown by the example of  $\exp(z)$ .)

Great Picard Theorem. Near an essential singularity, a meromorphic function assumes all values on  $\widehat{\mathbb{C}}$  with at most two exceptions.

Proof of Little Picard: The key fact is that the universal cover of  $\mathbb{C} - \{0, 1\}$  can be identified with the upper halfplane. This can be seen by constructing a Riemann mapping from the upper halfplane to an ideal hyperbolic triangle, sending 0, 1 and  $\infty$  to the vertices, and then developing both the domain and range by Schwarz reflection. Indeed, with suitable normalizations we have that  $\mathbb{C} - \{0, 1\}$  is isomorphic to  $\mathbb{H}/\Gamma(2)$ , where  $\Gamma(2) \subset PSL_2(\mathbb{Z})$  is the group of matrices congruent to the identity modulo 2. This is a free group on two generators.

Given this fact, we lift an entire function  $f : \mathbb{C} \rightarrow \mathbb{C} - \{0, 1\}$  to a map  $\tilde{f} : \mathbb{C} \rightarrow \mathbb{H}$ , which is constant by Liouville's theorem (because  $\mathbb{H} \cong \Delta$ ).

53. Proof of Great Picard. Let  $f : \Delta^* \rightarrow \mathbb{C} - 0, 1$  be a holomorphic function omitting three values on the sphere, normalized to be zero, one and infinity. Consider a loop  $\gamma$  around the puncture of the disk. If  $f$  sends  $\gamma$  to a contractible loop on the triply-punctured sphere, then  $f$  lifts to a map into the universal cover  $\mathbb{H}$ , which implies by Riemann's removability theorem that  $f$  extends holomorphically over the origin.

Otherwise, by the Schwarz lemma,  $f(\gamma)$  is a homotopy class that can be represented by an arbitrarily short loop. Thus it corresponds to a puncture, which we can normalize to be  $z = 0$  (rather than 1 or  $\infty$ ). It follows that  $f$  is bounded near  $z = 0$  so again the singularity is not essential.

54. The Gamma Function:

$$\Gamma(z) = \frac{\exp(-\gamma z)}{z} \prod_1^{\infty} \left(1 + \frac{z}{n}\right)^{-1} \exp(z/n).$$

Note that this expression contains the reciprocal of the canonical product associated to the non-positive integers. The constant  $\gamma$  is chosen so that  $\Gamma(1) = 1$ ; it can be given by:

$$\gamma = \lim \left( \sum_1^n 1/k \right) - \log(n + 1).$$

This expression is the error in an approximation to  $\int_1^{n+1} dx/x$  by the area of  $n$  rectangles of base one lying over the graph.

55. Gauss's formula:

$$\Gamma(z) = \lim_{n \rightarrow \infty} \frac{n!n^z}{z(z+1)\cdots(z+n)}.$$

The functional equation:

$$\Gamma(z+1) = z\Gamma(z).$$

Corollary:  $\Gamma(n+1) = n!$ .

56. The integral representation: for  $\operatorname{Re}(z) > 0$ ,

$$\Gamma(z) = \int_0^\infty e^{-t} t^z \frac{dt}{t}.$$

In other words,  $\Gamma(z)$  is the *Mellin transform* of the function  $e^{-t}$  on  $\mathbb{R}^*$ . The Mellin transform is an integral against characters  $\chi : \mathbb{R}^* \rightarrow \mathbb{C}^*$  (given by  $\chi(t) = t^z$ ), and as such it can be compared to the Fourier transform (for the group  $\mathbb{R}$  under addition) and to Gauss sums. Indeed the Gauss sum

$$\sigma(\chi) = \sum_{(n,p)=1} \chi(n) e^{2\pi i n/p}$$

is the analogue of the Gamma function for the group  $(\mathbb{Z}/p)^*$ .

57. Relation to sine function:

$$\frac{\pi}{\sin(\pi z)} = \Gamma(z)\Gamma(1-z).$$

Proof: Form the product  $\Gamma(z)\Gamma(-z)$  and use the functional equation.

58. Periodic functions: If  $f : \mathbb{C} \rightarrow X$  has period  $\lambda$ , then  $f(z) = F(\exp(2\pi iz/\lambda))$ , where  $F : \mathbb{C}^* \rightarrow X$ . Example:

$$\sum_{-\infty}^{\infty} \frac{1}{(z-n)^2} = \frac{\pi^2}{\sin^2(\pi z)}.$$

From this we get  $\sum_1^\infty 1/n^2 = \pi^2/6$ .

59. Elliptic (doubly-periodic) functions. Such functions can be considered as holomorphic maps  $f : X \rightarrow \widehat{\mathbb{C}}$ , where  $X = \mathbb{C}/\Lambda$ . By general easy results on compact Riemann surfaces: An entire elliptic function is constant. The sum of the residues of  $f$  over  $X$  is zero. The map  $f$  has as many zeros as poles.

More interesting is the fact that, if  $f$  is nonconstant with zeros  $a_i$  and poles  $p_i$ , then  $\sum a_i = \sum p_i$  in the group law on  $X$ . (Proof: integrate  $zdf/f$  around a fundamental parallelogram in  $\mathbb{C}$ .)

We will see that we may *construct* an elliptic function with given zeros and poles subject only to this constraint.

60. Construction of elliptic functions. For  $n \geq 3$ ,

$$\zeta_n(z) = \sum_{\Lambda} \frac{1}{(z - \lambda)^n}$$

defines an elliptic function of degree  $n$ . For degree two, we adjust the factors in the sum so they vanish at the origin, and obtain the definition of the Weierstrass function:

$$\wp(z) = \frac{1}{z^2} + \sum'_{\Lambda} \left( \frac{1}{(z - \lambda)^2} - \frac{1}{\lambda} \right).$$

To see  $\wp(z)$  is elliptic, use the fact that  $\wp(z) = \wp(-z)$  and  $\wp'(z) = -2\zeta_3(z)$  (which implies  $\wp(z + \lambda) = \wp(z) + A_\lambda$ ).

Other ways to construct elliptic functions of degree two: if  $\Lambda$  is generated by  $(\lambda_1, \lambda_2)$ , first sum over one period to get:

$$f_1(z) = \sum_{-\infty}^{\infty} \frac{1}{(z - n\lambda_1)^2} = \frac{\pi^2}{\lambda_1^2 \sin(\pi z/\lambda_1)^2};$$

then we have

$$\wp(z) = \sum_{-\infty}^{\infty} f_1(z - n\lambda_2)$$

(and the convergence is rapid). Similarly, if we write  $X = \mathbb{C}^* / \langle z \mapsto \alpha z \rangle$ , then

$$f(z) = \sum \frac{\alpha^n z}{(\alpha^n z - 1)^2}$$

converges to an elliptic function of order two.

61. Laurent expansion and differential equation. Expanding  $1/(z - \lambda)^2$  in a Laurent series about  $z = 0$  and summing, we obtain

$$\wp(z) = \frac{1}{z^2} + \sum_1^{\infty} (2n + 1)z^{2n}G_n$$

where

$$G_n = \sum_{\Lambda}^{\prime} \frac{1}{\lambda^{2n}}.$$

By a straightforward calculation (using the fact that an elliptic function with no pole is constant) we have:

$$\wp'(z)^2 = 4\wp(z)^3 - g_2\wp(z) - g_3,$$

where  $g_2 = 60G_2$  and  $g_3 = 140G_3$ .

62. Geometry of the Weierstrass map  $\wp : X \rightarrow \widehat{\mathbb{C}}$ . The critical points of  $\wp$  are the points of order two; the critical values are infinity and the zeros of the cubic equation  $p(x) = 4x^3 - g_2x - g_3$ . These are distinct.

The map  $z \mapsto (\wp(z), \wp'(z))$  sends  $X - \{0\}$  to the affine cubic curve  $y^2 = p(x)$ . Thus  $\wp$  “uniformizes” this plane curve of genus 1.

When  $\Lambda$  has a rectangular fundamental domain, the  $\wp$  function can also be constructed by taking a Riemann mapping from this rectangle (with dimensions reduced by a factor of two) to the upper half-plane and developing by Schwarz reflection. Then the differential equation for  $\wp$  comes from the Schwarz-Christoffel formula.

63. Function fields. Given any Riemann surface  $X$ , the meromorphic functions on  $X$  form a field  $K(X)$ . Then  $K$  is a contravariant functor from category of Riemann surfaces with non-constant maps to the category of fields with extensions. Example:  $K(\widehat{\mathbb{C}}) = \mathbb{C}(z)$ .

Theorem: For  $X = \mathbb{C}/\Lambda$ ,  $K(X) = \mathbb{C}(x, y)/(y^2 - 4x^3 + g_2x + g_3)$ .

To see that  $\wp$  and  $\wp'$  generate  $K(X)$  is easy. Any even function  $f : X \rightarrow \widehat{\mathbb{C}}$  factors through  $\wp$ :  $f(z) = F(\wp(z))$ , and so lies in  $\mathbb{C}(\wp)$ . Any odd function becomes even when multiplied by  $\wp'$ ; and any function is a sum of one even and one odd.

To see that the field is exactly that given is also easy. It amounts to showing that  $K(X)$  is of degree exactly two over  $\mathbb{C}(\wp)$ , and  $\wp$  is

transcendental over  $\mathbb{C}$ . The first assertion is obvious, and if the second fails we would have  $K(X) = \mathbb{C}(\wp)$ , which is impossible because  $\wp$  is even and  $\wp'$  is odd.

64. An elliptic function with given poles and zeros. It is natural to try to construct an elliptic function by forming the Weierstrass product for the lattice  $\Lambda$ :

$$\sigma(z) = z \prod'_{\Lambda} \left(1 - \frac{z}{\lambda}\right) \exp\left(\frac{z}{\lambda} + \frac{z^2}{2\lambda^2}\right).$$

Then  $(\sigma'(z)/\sigma(z))' = -\wp(z)$ , from which it follows that  $\sigma(z + \lambda) = \sigma(z) \exp(a_\lambda + b_\lambda z)$ . From this it is easy to see that

$$\frac{\sigma(z - a_1) \dots \sigma(z - a_n)}{\sigma(z - p_1) \dots \sigma(z - p_n)}$$

defines an elliptic function whenever  $\sum a_i = \sum p_i$ , and therefore this is the only condition imposed on the zeros and poles of an elliptic function.

65. The *moduli space of elliptic curves*,  $\mathcal{M}_1$ , classifies Riemann surfaces of genus 1 up to isomorphism. Since any such surface is given by  $X = \mathbb{C}/\Lambda$ , and we may normalize so  $\Lambda = \mathbb{Z} \oplus \mathbb{Z}\tau$ , where  $\tau \in \mathbb{H}$ , it is not hard to see:

$$\mathcal{M}_1 = \mathbb{H}/PSL_2(\mathbb{Z}).$$

Similarly, an elliptic curve with a labelling of its points of order two is classified by  $\mathcal{M}_1(2) = \mathbb{H}/\Gamma(2)$ .

We now present another proof that  $\mathbb{H}/\Gamma(2)$  is naturally isomorphic to  $\widehat{\mathbb{C}} - \{0, 1, \infty\}$ . Given  $\Lambda$ , we get the cubic polynomial  $4x^3 - g_2x - g_3 = 4(x - x_1)(x - x_2)(x - x_3)$ , whose roots are exactly the values of  $\wp(z)$  at the points of order two. Thus the cross-ratio  $\lambda(\tau) = (x_3 - x_2)/(x_3 - x_1)$  depends only on the location of  $\tau$  in  $\mathcal{M}_1(2)$ , and thus we have a map  $\lambda : \mathcal{M}_1(2) \rightarrow \widehat{\mathbb{C}} - \{0, 1, \infty\}$ .

But we can invert this map: given  $\lambda$ , form the degree two cover  $X_\lambda$  of  $\widehat{\mathbb{C}}$  branched over  $\{0, 1, \infty, \lambda\}$ . Once we know  $X_\lambda$  is isomorphic to  $\mathbb{C}/\Lambda$  for some  $\Lambda$ , it is easy to show we have reconstructed  $\tau$  up to an element of  $\Gamma(2)$ . One might appeal to the uniformization theorem

here, but in fact it is elementary to see that  $dx/\sqrt{x(x-1)(x-\lambda)}$  lifts to a nowhere-zero holomorphic 1-form on  $X_\lambda$ . This one form gives a complete Euclidean metric, and so the universal cover of  $X_\lambda$  can be identified with  $\mathbb{C}$ .