

# FUSION INEQUALITY FOR QUADRATIC COHOMOLOGY

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ABSTRACT. Classical simplicial cohomology on a simplicial complex  $G$  deals with functions on simplices  $x \in G$ . Quadratic cohomology [6, 7] deals with functions on pairs of simplices  $(x, y) \in G \times G$  that intersect. If  $K, U$  is a closed-open pair in  $G$ , we prove here a quadratic version of the linear fusion inequality [10]. Additional to the quadratic cohomology of  $G$  there are five additional interaction cohomology groups. Their Betti numbers are computed from functions on pairs  $(x, y)$  of simplices that intersect. Define the Betti vector  $b(X)$  computed from pairs  $(x, y) \in X \times X$  with  $x \cap y \in X$  and  $b(X, Y)$  with pairs in  $X \times Y$  with  $x \cap y \in K$ . We prove the fusion inequality  $b(G) \leq b(K) + b(U) + b(K, U) + b(U, K) + b(U, U)$  for cohomology groups linking all five possible interaction cases. Counting shows  $f(G) = f(K) + f(U) + f(K, U) + f(U, K) + f(U, U)$  for the  $f$ -vectors. Super counting gives Euler-Poincaré  $\sum_k (-1)^k f_k(X) = \sum_k (-1)^k b_k(X)$  and  $\sum_k (-1)^k f_k(X, Y) = \sum_k (-1)^k b_k(X, Y)$  for  $X, Y \in \{U, K\}$ . As in the linear case, also the proof of the quadratic fusion inequality follows from the fact that the spectra of all the involved Laplacians  $L(X), L(X, Y)$  are bounded above by the spectrum of the quadratic Hodge Laplacian  $L(G)$  of  $G$ .

## 1. IN A NUTSHELL

**1.1.** We prove here that if  $K$  is a sub-complex of a finite abstract simplicial complex  $G$  and  $U$  is the open complement  $U = G \setminus K$  [1, 12], there are besides the quadratic cohomology of  $G$  five quadratic cohomology groups belonging to the five **quadratic Hodge Laplacians**  $L(X), L(X, Y)$  with  $X, Y \in \{U, K\}$ . They all satisfy spectral inequalities:

**Theorem 1** (Spectral inequality).  $\lambda_k(L) \leq \lambda_k(L(G))$

**1.2.** The assumption is that all eigenvalues are ordered in an ascending order and that they are **padded left** in comparison with the eigenvalues of  $G$ . This result parallels the linear simplicial cohomology case [13], where  $U$  and  $K$  can not yet interact and  $L$  is one of the Hodge Laplacians  $L(K), L(U)$  for simplicial cohomology.

**1.3.** In the linear case, the Betti vectors satisfied the fusion inequality  $b(G) \leq b(K) + b(U)$  [10]. This linear fusion inequality had followed from the spectral inequality and the fact that cohomology classes are null-spaces of matrices. Counting gave  $f(G) = f(K) + f(U)$  for the  $f$ -vectors and the **Euler-Poincaré formula** was  $\chi(X) = \sum_k (-1)^k f_k(X) = \sum_k (-1)^k b_k(X)$  for  $X \in \{G, K, U\}$ , seen directly by heat deformation using the **McKean-Singer symmetry**, rephrasing that  $D_X$  is an isomorphism between even and odd parts of image of the Laplacian  $L_X = D_X^2$ , implying  $\text{str}(L^k) = 0$  for  $k \geq 1$  so that  $\text{str}(\exp(-tL(X))) = \text{str}(1_X) = \chi(X)$ .

**1.4.** In the quadratic cohomology case, where we look at functions on pairs of intersecting simplices, there is the cohomology of  $G$  leading to  $b(G)$  and five interaction cohomologies. They each lead to **Betti vectors**. We call them  $b(K), b(U), b(K, U), b(U, K)$ , and  $b(U, U)$ . Besides pointing out that we have these new cohomologies, we give here a relation between them and

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the cohomology of  $G$ . We call it the **quadratic fusion inequality**. We could use the heavier notation  $b(X, Y, Z)$  with  $X, Y, Z \in \{K, U\}$  dealing with functions on pairs  $(x, y) \in X \times Y$  with  $x \cap y \in Z$ , but we prefer to stick to the simpler notation: one reason is that both  $b(U), b(K)$  deal with internal cohomology of  $U$  and  $K$  and do not involve simplices of the other set, while  $b(K, U), b(U, K), b(U, U)$  involve both sets  $U$  and  $K$ . So, while the quadratic Betti vectors  $b(U), b(K)$  are **intrinsic** and only depend on one of the sets  $U$  or  $K$ , the others are not. We prove:

**Theorem 2** (Quadratic fusion inequality).  $b(G) \leq b(K) + b(U) + b(K, U) + b(U, K) + b(U, U)$ .

**1.5.** The quadratic Betti vector  $b(X)$  belongs to  $\{(x, y), x \cap y \in X\}$ , the vector  $b(K, U)$  belongs to all  $(x, y) \in K \times U$  with  $x \cap y \in K$ , and  $b(U, K)$  belongs to all  $(x, y) \in U \times K$  with  $x \cap y \in K$ , and  $b(U, U)$  belongs to all  $(x, y) \in U \times U$  with  $x \cap y \in K$ . Note that  $x \cap y \in K$  if  $x, y \in K$  so that  $b(K, K)$  is accounted for in  $b(K)$  already. With the heavier notation, from the eight cases  $b(X, Y, Z)$  with  $X, Y, Z \in \{K, U\}$  only  $b(K) = b(K, K, K), b(U) = b(U, U, U), b(K, U) = b(K, U, K), b(U, K) = b(U, K, K), b(U, U) = b(U, U, K)$  can occur.

**1.6.** The **quadratic characteristics** are defined as  $w(X) = \sum_{x, y \in X, x \cap y \in X} w(x)w(y)$  (Wu characteristic) and  $w(X, Y) = \sum_{x \in X, y \in Y, x \cap y \in K} w(x)w(y)$  and  $w(U, U) = \sum_{x \in U, y \in U, x \cap y \in U} w(x)w(y)$ , we have  $w(G) = w(U) + w(K) + w(U, K) + w(K, U) + w(U, U)$  which follows from the fact that  $f$ -vectors for quadratic cohomology satisfy  $f(G) = f(K) + f(U) + f(K, U) + f(U, K) + f(U, U)$ . Any of the cohomologies for  $X \in \{G, U, K, (U, K), (K, U), (U, U)\}$  satisfy the Euler-Poincaré formula, following heat deformation with  $L_X$  or  $L_{X, Y}$  using the McKean-Singer symmetry.

**1.7.** Why is this interesting? If  $G$  is finite abstract simplicial complex that is a finite  $d$ -manifold, and  $f$  is an arbitrary function from  $G$  to  $P = \{0, \dots, k\}$ , then the **discrete Sard theorem** [11] assures that the open set  $U = \{x \in G, f(x) = P\}$  is either empty or a  $(d - k)$ -manifold in the sense that the graph with vertex set  $U$  and edge set  $\{(x, y) \text{ for which } x \subset y \text{ or } y \subset x\}$  is a discrete  $(d - k)$ -manifold. Since  $U$  is open, the complement  $K = G \setminus U$  is closed and so a sub-simplicial complex. All these cohomologies are topological invariants.

**1.8.** For example, if  $G$  is a discrete 3-sphere and  $f : G \rightarrow \{0, 1, 2\}$  is arbitrary, then  $U$  is either empty, a **knot** or a **link**, a finite union of closed disjoint (possibly interlinked) 1-manifolds in the 3-sphere  $G$ . The simplicial cohomology of  $U$  and the quadratic cohomology of  $U$  are not interesting: they are just circles:  $b(U) = (l, l)$ , where  $l$  is the number of connected components of  $U$ . The simplicial cohomology of  $K$  however can be interesting and leads to knot or link invariants. It is well studied in the continuum as it is a **knot invariant** or a **link invariant**. Additional interaction cohomologies that take into account interaction between  $U$  and the complement  $K$  are completely unexplored. The inequality shows however that in general, more cohomology classes are created when splitting up  $G$  into  $U \cup K$ . Unlike in the linear case, we have now the possibility of particles (harmonic forms) that are functions of  $(x, y)$  with  $x \in U, y \in K$  with  $x \cap y \in K$  and also of function on  $(x, y)$  with  $x \in U, y \in U$  with  $x \cap y \in K$ .

**1.9.** The quadratic case we look at here would generalize in a straightforward way to **higher characteristics**. One starts with  $m = 1$ , the linear characteristic which is **Euler characteristic**. The second  $m = 2$  is quadratic characteristic or Wu characteristic going back to Wu in 1959. <sup>1</sup> In general, we would look for  $m$ -tuples of points  $(x_1, \dots, x_m)$  that do

<sup>1</sup>Historically, multi-linear valuations were considered in [14, 3, 6] and its cohomology in [8]. Quadratic cohomology is to Wu characteristic what simplicial cohomology is to Euler characteristic.

simultaneously intersect. There are then much more  $m$ -point interaction cohomologies and  $b(G)$  is again bounded above by all possible cases of  $b(X_1, \dots, X_m)$  with  $X_i \in \{U, K\}$  and the intersection in  $K$ . The cases  $b(U, U, \dots, U)$  has cases  $(x_1, \dots, x_m) \in U^m$  with  $\bigcap x_i \in U$  which is part of  $b_U$  and a new part where  $\bigcap x_i \in K$ . As in the case  $m = 2$ , the cohomology of  $b(K, K, \dots, K)$  is part of  $b(K)$ . We have to consider the Betti vector  $b(U)$  for functions on  $\{(x_1, \dots, x_m) \in U^m, \bigcap_i x_i \in U\}$  and  $b(U, \dots, U)$  referring to functions on  $\{(x_1, \dots, x_m) \in U^m, \bigcap_i x_i \in K\}$ , where  $b(K, \dots, K) = b(K)$  in the notation used before. If all  $x_i$  are in  $U$ , then the intersection can be either in  $U$  or  $K$ , but if all  $x_i$  are in  $K$ , then the intersection must be in  $K$ , not warranting to distinguish  $b(K, \dots, K)$  and  $b(K)$ . Despite the obvious duality  $U \leftrightarrow K$ , there is an asymmetry in that  $x \in U, y \in U$  allows  $x \cap y$  to be in  $U$  or  $K$  while  $x \in K, y \in K$  implies  $x \cap y \in K$ : technically, a closed set  $K$  is a  $\pi$ -system while an open set  $U$  is not unless it is  $\emptyset$  or  $G$ .

**Theorem 3.**  $b(G) \leq b(U) + b(K) + \sum_{X_i \in \{U, K\}} b(X_1, \dots, X_m)$ .

There would again be heavier notation  $b(X_1, \dots, X_m, X_0)$  dealing with  $(x_1, \dots, x_m) \in X_1 \times \dots \times X_m$  with  $\bigcap_{k=1}^m x_k \in X_0$  and have  $b(G) \leq \sum_{X_i \in \{U, K\}} b(X_1, \dots, X_m, X_0)$  taking into account that some of the cases like  $(K, \dots, K, U)$  are empty because  $X_0 = U$  only is interesting if all  $X_1 = \dots = X_m = K$ . Again, like in the case  $m = 2$ , we have two cases  $b(U), b(K)$  which are **intrinsic** while the other cases involve simplices from both  $U$  and  $K$ .

**1.10.** We study here higher order chain complexes in finite geometries. Each of the situations is defined by a triple  $(X, D, R)$ , where  $X$  is a finite set of  $n$  elements,  $D = d + d^*$  is a finite  $n \times n$  matrix such that  $d^2 = 0$  and where  $R$  is the dimension function compatible with  $D$  in the sense that the blocks of  $L = D^2$  have constant dimension. We can call this structure an **abstract delta set** because every delta set defines such a structure, but where instead of face maps, we just go directly to the exterior derivative  $d$ . The advantage of looking at the Dirac setting is that  $D$  can be much more general than coming from face maps. It could be a deformed Dirac matrix for example obtained by isospectral deformation  $D' = [D^+ - D^-, D]$  [5, 4], which keeps the spectrum of  $D$  invariant but produces  $D = d + d^* + B$  leading to new exterior derivatives  $d(t)$ , a deformation which is invisible to the Hodge Laplacian as  $D^2(t) = L$  is not affected. Since  $d(t)$  gets smaller, this produces an expansion of space. In general, also in the continuum, there is an inflationary start of expansion.

## 2. A SMALL EXAMPLE

**2.1.** Lets illustrate the quadratic fusion inequality in the case  $K_2$ :

**2.2.** The linear simplicial cohomology is given by  $(\begin{bmatrix} \{1\} \\ \{2\} \\ \{1, 2\} \end{bmatrix}, \begin{bmatrix} 0 & 0 & -1 \\ 0 & 0 & 1 \\ -1 & 1 & 0 \end{bmatrix}, R = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix})$ .

Take the open-closed pair  $U = \{\{1, 2\}\}$  and  $K = \{\{1\}, \{2\}\}$  leading to the abstract delta set structures  $(\begin{bmatrix} \{1\} \\ \{2\} \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \end{bmatrix})$  ( $\{\{1, 2\}\}, [0], [1]$ ). The Betti vectors are  $b(G) = (1, 0), b(U) = (0, 1), b(K) = (2, 0)$  and the f-vectors are  $f(G) = (2, 1), f(U) = (0, 1), f(K) = (2, 0)$ . The fusion inequality  $b(G) < b(K) + b(U)$  is here strict. Merging  $U$  and  $K$  fuses a harmonic 0 form in  $K$  with the 1-form in  $U$ . Betti vectors have been considered since Betti and Poincaré. Finite topological spaces were first looked at by Alexandroff [1]. For cohomology of open sets in finite frame works, see [10].

**2.3.** If we look at **quadratic cohomology** for  $G$ , where we have the abstract delta set  $(X, D, R) =$

$$\left( \begin{bmatrix} \{2\} & \{2\} \\ \{1\} & \{1\} \\ \{1, 2\} & \{2\} \\ \{1, 2\} & \{1\} \\ \{2\} & \{1, 2\} \\ \{1\} & \{1, 2\} \\ \{1, 2\} & \{1, 2\} \end{bmatrix}, \begin{bmatrix} 0 & 0 & -1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 1 & -1 & 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \\ 1 \\ 1 \\ 2 \end{bmatrix} \right).$$

The Hodge Laplacian  $L = D^2 = L_0 \oplus L_1 \oplus L_2$  has the Hodge blocks:

$$L_0 = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}, L_1 = \begin{bmatrix} 2 & -1 & 0 & -1 \\ -1 & 2 & -1 & 0 \\ 0 & -1 & 2 & -1 \\ -1 & 0 & -1 & 2 \end{bmatrix}, L_2 = [4]$$

with Betti vector  $b(G) = (0, 1, 0)$  and f-vector  $f(G) = (2, 4, 1)$  and Wu characteristic  $w(G) = f_0 - f_1 + f_2 = 2 - 4 + 1 = b_0 - b_1 + b_2 = 0 - 1 + 0 = -1$ . The eigenvalues of  $L_1$  are  $(0, 2, 2, 4)$ , the null-space is spanned by  $[1, 1, 1, 1]$ . By accident  $L_1$  happens to be a Kirchoff matrix of  $C_4$ . If  $K_2$  is seen as a 1-manifold with boundary  $\delta G$ <sup>2</sup> we have  $w(G) = \chi(G) - \chi(\delta(G)) = 1 - 2 = -1$ , illustrating that in general, for manifolds  $M$  with boundary  $\delta M$ , the Wu characteristic is  $\chi(M) - \chi(\delta M)$ .

**2.4.** Now to  $U = \{\{1, 2\}\}$ , where we have the abstract delta set structure  $(X, D, R) =$

$$\left( \begin{bmatrix} \{1, 2\} & \{1, 2\} \end{bmatrix}, \begin{bmatrix} 0 \end{bmatrix}, \begin{bmatrix} 2 \end{bmatrix} \right).$$

with  $b(U) = (0, 0, 1)$  and  $f(U) = (0, 0, 1)$  and  $w(U) = 1$ .

For  $K = \{\{1\}, \{2\}\}$  we have the abstract delta set structure  $(X, D, R) =$

$$\left( \begin{bmatrix} \{2\} & \{2\} \\ \{1\} & \{1\} \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \end{bmatrix} \right)$$

with  $b(K) = (2, 0, 0)$  and  $f(U) = (2, 0, 0)$  and  $w(K) = 2$ . Obviously the intrinsic cohomologies of  $U$  and  $K$  are not yet giving a complete picture. The simplices in  $U$  and  $K$  can interact as we see next.

**2.5.** Now, we turn to the interactions of  $K$  with  $U$

$$\left( \begin{bmatrix} \{2\} & \{1, 2\} \\ \{1\} & \{1, 2\} \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right) \cdot \\ \left( \begin{bmatrix} \{1, 2\} & \{2\} \\ \{1, 2\} & \{1\} \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right)$$

with  $b(K, U) = b(U, K) = (0, 2, 0)$  and  $f(U) = (0, 2, 0)$  and  $w(U, K) = -2$ . There is no pair  $(x, y) \in U^2$  such that  $x \cap y \in K$  so that  $b(U, U) = (0, 0, 0)$ .

<sup>2</sup>We usually assume that manifolds with boundary have an interior. The Barycentric refinement of a complete graph  $K_{d+1}$  would be a  $d$ -manifold with boundary.

**2.6.** To summarize, we have

<i>Case</i>	<i>Betti</i>	<i>f - vector</i>	<i>Characteristic</i>
$U$	(0, 0, 1)	(0, 0, 1)	1
$K$	(2, 0, 0)	(2, 0, 0)	2
$(U, K)$	(0, 2, 0)	(0, 2, 0)	-2
$(K, U)$	(0, 2, 0)	(0, 2, 0)	-2
$(U, U)$	(0, 0, 0)	(0, 0, 0)	0
$G$	(0, 1, 0)	(2, 4, 1)	-1

The quadratic fusion inequality  $b(U) + b(K) + b(U, K) + b(K, U) + b(U, U) = (2, 3, 1) > b(G) = (0, 1, 0)$  is here strict. The fusion has two 0-form-1-form mergers and one 1-form-2-form merger. The difference in the fusion inequality is  $(1, 1, 0) + (1, 1, 0) + (0, 1, 1) = (2, 3, 1)$ .

**2.7.** We see already in this small example, how the closed “laboratory”  $K$  and the “observer space”  $U$  are no more strictly separated, even so they partition the “world”  $G$ . The “tunneling” between  $K$  and  $U$  is described using algebraic topology, expressed by cohomology groups. Unlike for simplicial cohomology which features homotopy invariance, there is only **topological invariance**. Already the Wu characteristic of contractible balls depends on the dimension. [For a  $d$ -ball, the Wu characteristic  $w(M)$  is  $(-1)^d$  where  $d$  is the dimension and illustrates that  $w(M) = \chi(M) - \chi(\delta M)$  in general for discrete manifolds  $M$  with boundary  $\delta M$  and that for a  $d$ -ball, the boundary is a  $d - 1$  sphere with Euler characteristic  $\chi(\delta M) = 1 - (-1)^d$ .] If we take a  $d$ -ball in a  $d$ -dimensional simplicial complex and replace the interior to get an other  $d$ -ball without changing the boundary, then the cohomology does not change because we can for any positive  $k$  add add gauge fields ( $k$ -forms that are coboundaries)  $dg$  to render a cocycle zero in the interior (without changing the equivalence class) and use the heat flow to get back a harmonic form after doing the surgery in the interior. This implements the **chain homotopy** when doing a local homeomorphic deformation: move the field away from the “surgery place”, do the surgery, then use the heat flow to “heal the wound” and get back harmonic forms.

**2.8.** We have just given the argument for the following result:

**Theorem 4.** *All quadratic cohomology groups  $b(X), b(X, Y)$  are topological invariants.*

**2.9.** For  $G = K_3, K = \{\{1\}\}$ , we can look at the complex  $G(U, K) = \begin{bmatrix} \{1\} & \{1, 3\} \\ \{1\} & \{1, 2\} \\ \{1\} & \{1, 2, 3\} \end{bmatrix}$  and

$D(K, U) = \begin{bmatrix} 0 & 0 & -1 \\ 0 & 0 & 1 \\ -1 & 1 & 0 \end{bmatrix}$  with kernel spanned by  $[1, 1, 0]$ . For its Barycentric refinement and

still  $K = \{1\}$  (on the boundary), and where we look at functions on  $X = \begin{bmatrix} \{1\} & \{1, 7\} \\ \{1\} & \{1, 5\} \\ \{1\} & \{1, 4\} \\ \{1\} & \{1, 5, 7\} \\ \{1\} & \{1, 4, 7\} \end{bmatrix}$ ,

we have  $D(K, U) = \begin{bmatrix} 0 & 0 & 0 & -1 & -1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ -1 & 1 & 0 & 0 & 0 \\ -1 & 0 & 1 & 0 & 0 \end{bmatrix}$  with kernel spanned by  $[1, 1, 1, 0, 0]$ .

### 3. QUADRATIC COHOMOLOGY

**3.1. Simplicial cohomology** for a finite abstract simplicial complex  $G$  is part of the spectral theory of the **Hodge Laplacian**  $L = D^2$  with **Dirac matrix**  $D = d + d^*$ , where  $d$  is the exterior derivative. Note that all these matrices  $d, D, L$  are  $n \times n$  matrices if  $G$  has  $n$  elements. The matrix  $L$  is a block diagonal matrix  $L = \bigoplus_{k=0}^d L_k$ . The kernels of the blocks  $L_k$  of  $L$  are the  $k$ -**harmonic forms** or  $k$ -**cohomology** vector spaces. In this finite setting, this is linear algebra [2]. The dimensions  $b_k$  are the **Betti numbers**, the components of the Betti vector of  $G$ .

**3.2.** If  $K$  is a subcomplex of  $G$  and  $U$  is the open complement, then the **separated system**  $(K, U)$  has a Laplacian  $L_{K,U} = L_K \oplus L_U$  for which the energies  $\lambda_j(L_{K,U})$  are less or equal than  $2\lambda_j(L_G)$  [13] implying that the separated system can not have more harmonic forms than  $G$ . It can have more: if  $G$  is a closed 2-ball for example and  $K$  is the boundary 1-sphere then  $b_G = (1, 0, 0)$ ,  $b_K = (1, 1, 0)$  and  $b_U = (0, 0, 1)$ . The closed part  $K$  carries a trapped harmonic 1-form. It is fused with the 2-form present on  $U$ , if  $K, U$  get united to  $G$ .

**3.3.** A complex  $G$  defines a delta set  $G = \bigcup_{k=0}^d G_k$ . The  $f$ -**vector**  $f(G) = (f_0(G), \dots, f_d(G))$  has components  $f_k(G) = |G_k|$ , the number of elements in  $G_k$ . The **super trace** of an  $n \times n$  matrix  $L$ <sup>3</sup> is defined as  $\text{str}(L) = \sum_{k=0}^d (-1)^k \sum_{x \in G_k} L(x, x)$ . Compare with the usual trace  $\text{tr}(L) = \sum_{k=0}^d \sum_{x \in G_k} L(x, x) = \sum_{x \in G} L(x, x)$ . The Euler characteristic is  $\chi(G) = \sum_{x \in G} w(x)$ . The **Euler-Poincaré formula**  $\chi(G) = \sum_k (-1)^k f_k = \sum_k (-1)^k b_k$  follows directly from the **McKean-Singer identity**, stating that  $\text{str}(\exp(-itL)) = \chi(G)$  for all  $t$  which in turn follows from the fact that the Dirac matrix  $D$  gives an isomorphism between even and odd **non-harmonic forms**. For  $t = 0$ , the super trace of the heat kernel is the combinatorial Euler characteristic, while for  $t = \infty$ , it is the cohomological Euler characteristic.

**3.4. Quadratic cohomology** does not build on single simplices  $x \in G$  like simplicial cohomology but on **pairs of intersecting simplices**  $(x, y) \in G \times G$ . Define  $w(x) = (-1)^{\dim(x)}$ . The quadratic analog of (linear) Euler characteristic  $\chi(A) = \sum_{x \in A} w(x)$  is the “Ising type” energy or **Wu characteristic**  $w(A) = \sum_{x,y,x \cap y \in A} w(x)w(y)$ . It is an example of a multi-linear valuation. We also just call it **quadratic characteristic**, an example of **higher characteristic**. [9].

**3.5.** The name “quadratic” is chosen because it is multi-linear and for  $m = 2$  a quadratic valuation. Similarly as a quadratic form is a multi-linear map, linear in each argument, the quadratic characteristic  $w(A, B) = \sum_{x \in A, y \in B, x \cap y \neq \emptyset} w(x)w(y)$  (or variants, where we ask the intersection to be in  $A$  or  $B$ ) satisfies the valuation formula in each of the coordinates, like  $w(X, U \cup V) = w(X, U) + w(X, V) - w(X, U \cap V)$ .

**3.6.** Given an open-closed pair  $(U, K)$ , one can define quadratic cohomology on  $k$ -**forms**. Forms are functions on  $\Lambda(X, Y) = \{(x, y) | x \in X, y \in Y, x \cap y \in X\}$  and  $\Lambda(X) = \{(x, y) | x \in X, y \in X, x \cap y \in X\}$  and  $\Lambda(X, Y) = \{(x, y) | x \in X, y \in Y, x \cap y \neq \emptyset, x \cap y \in K\}$ . The  $k$ -forms are the forms on functions with  $\dim(x) + \dim(y) = k$ .

<sup>3</sup>We write the entries as  $L(x, y)$

**3.7.** In the case of an open-closed pair, we have five different cohomologies  $U, K, (U, K), (K, U), (U, U)$ . There is no case  $(K, K)$  because the intersection of  $x \in K, y \in K$  is in  $K$ . The case  $(K, K)$  is part of  $K$ . The case  $(U, U)$  looks at pairs such that the intersection is in  $K$  while  $U$  looks at pairs such that the intersection is in  $K$ . We can have a disjoint union

$$\Lambda(G) = \Lambda(U) \cup \Lambda(K) \cup \Lambda(K, U) \cup \Lambda(U, K) \cup \Lambda(U, U) .$$

4

**3.8.** The **exterior derivative** is inherited from the exterior derivative on products. It is  $df(x, y) = d_x f(x, y) + w(x) d_y(f, y)$ , where  $d_x, d_y$  are the usual simplicial exterior derivatives but with respect to the first or second coordinate. If we would look at this derivative on  $X \times Y$ , the Hodge Laplacians are the tensor products of the Laplacians on  $X$  and  $Y$ . Even if the set-theoretical Cartesian product  $X \times Y$  is not a simplicial complex any more, we still have a cohomology. But now, we restrict this exterior derivative to pairs  $(x, y)$  that intersect. We are not aware of such a construction in the continuum.

#### 4. SPECTRAL MONOTONICITY

**4.1.** The proof of the quadratic fusion inequality Theorem (2) is analog to the linear case. The key is that in each case, the matrix  $L$  is the square  $L = D^2$  of a matrix  $D$  which has the property that a principal sub-matrix of  $D$  has intertwined spectrum so that the left padded spectral functions of  $L$  are monotone. This looks like a technical detail but it is important and **at the heart of the entire story**: the matrix  $L$  does not have the property that taking away highest or lowest dimensional simplices produces principal sub-matrices which themselves come from a geometry. But the Dirac matrix  $D$  does have the property. And since  $D$  has symmetric spectrum with respect to the origin and  $D^2 = L$ , we have also monotonicity for  $L$ .

**4.2.** Let us formulate the Cauchy interlace theorem a bit differently, than usual. The point is that if a principal submatrix  $B$  of a self-adjoint matrix  $A$  has the eigenvalues padded left when compared to the eigenvalues of  $A$  then there is a direct comparison between all eigenvalues. This is very general and allows to talk about monotonicity rather than interlacing.

**Lemma 1** (Left Padded Monotonicity). *Let  $A$  be a symmetric  $n \times n$  matrix and  $m$  a principal  $m \times m$  submatrix, denote by  $\lambda_1 \leq \dots \leq \lambda_n$  the eigenvalues of  $A$  and  $\mu_{n-m} \leq \dots \leq \mu_n$  the eigenvalues of  $B$ . Then  $\mu_k \leq \lambda_k$  for all  $n - m \leq k \leq n$ .*

*Proof.* This follows directly from the interlace theorem and induction with respect to  $m$ . Both induction assumption as well as the induction steps involve the interlace theorem.  $\square$

```
n=300; m=100; B=Table[20*Random[]-10,{n},{n}]; A0=Transpose[B].B;
A=A0; Do[A1=Transpose[Delete[Transpose[Delete[A,1]],1]]; A=A1,{m}];
A=A1; Do[A2=Transpose[Delete[Transpose[Delete[A,1]],1]]; A=A2,{m}];
T=Eigenvalues; S=Sort; {a,b,c}=PadLeft[{S[T[A0]],S[T[A1]],S[T[A2]]}];
ListPlot[{a,b,c},Joined->True,Filling->Bottom,PlotRange->All];
```

---

<sup>4</sup>In the code we part we identify  $\Lambda(U, K)$  with  $\Lambda(K, U)$  so that we do not have to probe which of the entries is the closed set.

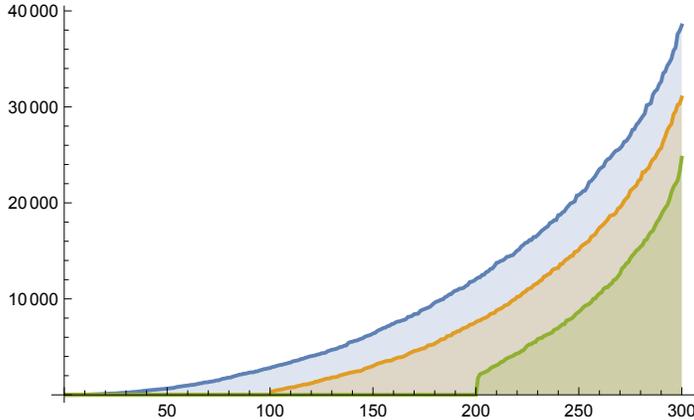


FIGURE 1. We see the sorted eigenvalues of a random real self-adjoint  $300 \times 300$  matrix  $A_0$ , then the eigenvalues of a  $200 \times 200$  principal submatrix  $A_1$  and then the eigenvalues of a  $100 \times 100$  principal submatrix  $A_2$  of  $A_1$ . The eigenvalues are padded left. The figure illustrates Lemma (1). The code which gave the output is listed below.

**4.3.** We can now look at the map  $K \rightarrow \lambda(K)$  giving for each sub-complex  $K$  the spectral function ordered in an ascending way and padded left. The partial order on sub-simplicial complexes and the partial order on spectral functions are compatible:

**Corollary 1.** *The maps  $X \rightarrow \lambda(X)$  and  $(X, Y) \rightarrow \lambda(X, Y)$  preserve the partial orders in the sense that if we remove maximal simplices from closed sets, or minimal simplicial from open sets, then the spectral functions can only get smaller.*

**4.4.** The same holds by iterating the process and take principal  $(n - k) \times (n - k)$  sub-matrices. Now, if we look at a Dirac matrix of a closed set  $K$  and take a maximal simplex  $x$  away, then we get monotonicity. The same happens if we take a minimal simplex  $x$  away from an open set. Note that if we look at pairs  $(x, y)$  belonging to some pair like  $(K, U)$  and we take a maximal element  $x$  away, then several pairs  $(x, y_i)$  are removed from the complex on  $(K, U)$ .

**Theorem 5** (Spectral monotonicity). *For all  $j \leq n$  we have  $\lambda_j(K) \leq \lambda_j(G)$ ,*

$$\begin{aligned} \lambda_j(K) &\leq \lambda_j(G), \\ \lambda_j(K, U) &\leq \lambda_j(G), \\ \lambda_j(U, K) &\leq \lambda_j(G), \\ \lambda_j(U, U) &\leq \lambda_j(G), \end{aligned}$$

*Proof.* If we add a locally maximal simplex to a given complex, the spectrum changes monotonically by interlace. For any vector  $u$ ,  $\langle u, Lu \rangle = \langle u, D^2u \rangle = \langle Du, Du \rangle = \|Du\|^2$  Define  $\mathcal{S}_k = \{V \subset \mathbb{R}^n, \dim(V) = k\}$

$$\lambda_k(K) = \min_{V \in \mathcal{S}_k} \max_{|u|=1, u \in V} \langle u, L(K)u \rangle \leq \min_{V \in \mathcal{S}_k} \max_{|u|=1, u \in V} \langle u, L(G)u \rangle = \lambda_k(G) .$$

As for the **interlace theorem** applied to  $D$  as the Dirac matrix of  $K$  is obtained from the Dirac matrix of  $L$  by deleting the row and column belonging to the element  $x$  which was added. The eigenvalues of the Dirac matrix  $D_K$  of  $K$  are now interlacing the eigenvalues of the Dirac matrix  $D_G$  of  $G$ . □

**4.5.** In the quadratic case, taking away a largest dimensional simplex (facet)  $x$  will affect in general various pairs of simplices  $(x, y)$  or  $(y, x)$ . The effect is that the quadratic Dirac matrix of  $G \setminus x$  is still a principal sub-matrix. We still have spectral monotonicity.

**4.6.** To conclude the proof of Theorem (2), write down the decoupled Laplacian  $L(U) \oplus L(K) \oplus L(K, U) \oplus L(U, K) \oplus L(U, U)$  which is block diagonal and is a  $n \times n$  matrix, the same size than  $L(G)$ . Lets call its eigenvalues  $\mu_k$ . From the spectral inequalities for each block, we know

$$0 \leq \mu_k \leq 5\lambda_k .$$

where  $\lambda_k$  are the eigenvalues of the quadratic Hodge Laplacian of  $G$ . Therefore, there are at least as many 0 eigenvalues for the decoupled system than for  $G$ , proving the inequality.

## 5. AN EXAMPLE

**5.1.** Here is an example with the **Kite complex**  $G = K_{1,2,1}$ , where we have a complex with 2 triangles. We will see what happens if we take one of the triangles away. We look at the case  $(U, U)$ . The Dirac matrix  $D(U, U)$  is a  $14 \times 14$  matrix.

$$\begin{bmatrix} 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & -1 & 0 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & -1 & 0 & -1 & 0 & 0 & 0 \end{bmatrix} .$$

The Eigenvalues of the Laplacian  $L(U, U) = D(U, U)^2$  are  $\{4, 4, 4, 4, 2, 2, 2, 2, 2, 2, 2, 2, 0, 0\}$ .

**5.2.** Now lets take away the simplicies which do not involve the triangle  $(1, 3, 4)$ . We have to select the rows and columns in  $\{1, 2, 3, 4, 7, 8, 9, 11\}$ . The Dirac matrix is

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} .$$

The eigenvalues of  $L$  are now  $\{1, 1, 1, 1, 1, 1, 1, 1\}$ .

## 6. CODE

```

Generate[A_]:=If[A=={},{},Sort[Delete[Union[Sort[Flatten[Map[Subsets,A],1]]],1]]];
L=Length; Whitney[s_]:=Generate[FindClique[s,Infinity,All]]; L2[x_]:=L[x[[1]]]+L[x[[2]]];
(* Linear Cohomology *)
sig[x_]:=Signature[x]; nu[A_]:=If[A=={},{},0,L[A]-MatrixRank[A]];
F[G_]:=Module[{l=Map[L,G]},If[G=={},{},Table[Sum[If[l[[j]]==k,1,0],{j,L[l]}],{k,Max[l]}]];
sig[x_,y_]:=If[SubsetQ[x,y]&&(L[x]==L[y]+1),sig[Prepend[y,Complement[x,y][[1]]]*sig[x],0];
Dirac[G_]:=Module[{f=F[G],b,d,n=L[G]},b=Prepend[Table[Sum[f[[1]],{1,k}],{k,L[f]},0];
d=Table[sig[G[[i]],G[[j]],{i,n},{j,n}];{d+Transpose[d],b}];
Hodge[G_]:=Module[{Q,b,H},{Q,b}=Dirac[G];H=Q.Q;Table[Table[H[[b[[k]]+i,b[[k]]+j]],
{i,b[[k+1]]-b[[k]]},{j,b[[k+1]]-b[[k]]}],{k,L[b]-1}];
Betti[s_]:=Module[{G},If[GraphQ[s],G=Whitney[s],G=s];Map[nu,Hodge[G]];
Fvector[A_]:=Delete[BinCounts[Map[Length,A],1];
Euler[A_]:=Sum[(-1)^(Length[A[[k]]]-1),{k,Length[A]}];
(* Quadratic Cohomology *)
F2[G_]:=Module[{},If[G=={},{},Table[Sum[If[L2[G[[j]]]==k,1,0],{j,L[G]}],{k,Max[Map[L2,G]}]];
ev[L_]:=Sort[Eigenvalues[1.0*L]];
WuComplex[A_,B_,opts_...]:=Module[{Q={x,y,u},
Do[x=A[[k]];y=B[[1]];u=Intersection[x,y];
If[(!opts=="Open" && Not[x==y] && L[u]>0 && Not[MemberQ[A,u]]) ||
(Not[opts=="Open"] && MemberQ[A,u])],
Q=Append[Q,{x,y}],{k,L[A]},{1,L[B]}];Sort[Q,L2[#1]<L2[#2]&]];
Dirac[G_,H_,opts_...]:=Module[{n=L[G],Q,m=L[H],b,d1,d2,h,v,w,l,DD},Q=WuComplex[G,H,opts];
n2=L[Q];f2=F2[Q];b=Prepend[Table[Sum[f2[[1]],{1,k}],{k,L[f2]},0];
D1[{x_,y_}]:=Table[Sort[Delete[x,k]],y],{k,L[x]}];
D2[{x_,y_}]:=Table[{x,Sort[Delete[y,k]]},{k,L[y]}];
d1=Table[0,{n2},{n2}];Do[v=D1[Q[[m]]];If[L[v]>0,Do[r=Position[Q,v[[k]]];
If[r!={},d1[[m,r[[1,1]]]]=(-1)^k,{k,L[v]}],{m,n2}];
d2=Table[0,{n2},{n2}];Do[v=D2[Q[[m]]];If[L[v]>0,Do[r=Position[Q,v[[k]]];
If[r!={},d2[[m,r[[1,1]]]]=(-1)^(L[Q[[m,1]]]+k),{k,L[v]}],{m,n2}];
d=d1+d2;DD=d+Transpose[d];{DD,b}];
Beltrami[G_,H_,opts_...]:=Module[{Q,P,b},{Q,b}=Dirac[G,H,opts];P=Q.Q;
Hodge[G_,H_,opts_...]:=Module[{Q,P,b},{Q,b}=Dirac[G,H,opts];P=Q.Q;
Table[Table[P[[b[[k]]+i,b[[k]]+j]],{i,b[[k+1]]-b[[k]]},{j,b[[k+1]]-b[[k]]}],{k,2,L[b]-1}];
Betti[G_,H_,opts_...]:=Map[nu,Hodge[G,H,opts]];
Wu[A_,B_,opts_...]:=Sum[x=A[[k]];y=B[[1]];u=Intersection[x,y];
If[(!opts=="Open" && Not[x==y] && L[u]>0 && Not[MemberQ[A,u]]) ||
(Not[opts=="Open"] && MemberQ[A,u])],
(-1)^L2[{x,y}],0],{k,L[A]},{1,L[B]}];
Fvector[A_,B_,opts_...]:=Module[{a=F2[WuComplex[A,B,opts]]},Table[a[[k]],{k,2,L[a]}];

s = CompleteGraph[{1,2,1}]; G = Whitney[s]; K = Generate[{{1,4}}]; U=Complement[G,K];
Print["Linear_Cohomology"];
{bU,bK,bG}=PadRight[{Betti[U],Betti[K],Betti[G]}];
{fU,fK,fG}=PadRight[{Fvector[U],Fvector[K],Fvector[G]}];
Print[Grid[{
{"Case","Betti","F-vector","Euler"},{"U",bU,fU,Euler[U]},
{"K",bK,fK,Euler[K]},{"G",bG,fG,Euler[G]},
{"Compare",bU+bK-bG,fU+fK-fG,Euler[U]+Euler[K]-Euler[G]}]];
Print["Quadratic_Cohomology"];
{bU,bK,bKU,bUK,bUU,bG}=PadRight[{Betti[U,U,"Closed"],Betti[K,K,"Closed"],
Betti[K,U,"Closed"],Betti[U,K,"Closed"],Betti[U,U,"Open"],Betti[G,G,"Closed"]}];
{fU,fK,fKU,fUK,fUU,fG}=PadRight[{Fvector[U,U,"Closed"],Fvector[K,K,"Closed"],
Fvector[K,U,"Closed"],Fvector[U,K,"Closed"],Fvector[U,U,"Open"],Fvector[G,G,"Closed"]}];
Print[Grid[{{"Case","Betti","F-vector","Wu"},{"U",bU,fU,Wu[U,U,"Closed"]},
{"K",bK,fK,Wu[K,K,"Closed"]},{"UK",bKU,fKU,Wu[K,U,"Closed"]},{"KU",bKU,fKU,Wu[K,U,"Closed"]},
{"UU",bUU,fUU,Wu[U,U,"Open"]},{"G",bG,fG,Wu[G,G,"Closed"]},
{"Compare",bU+bK+bKU+bUU-bG,fU+fK+fKU+fUU-fG,
Wu[U,U,"Closed"]+Wu[K,K,"Closed"]+2Wu[K,U,"Closed"]+Wu[U,U,"Open"]-Wu[G,G,"Closed"]}]];

```

6.1. Here is the output of the above lines for simplicial cohomology

Case	Betti	F-vector	Euler
U	{0, 0, 0}	{2, 4, 2}	0
K	{1, 0, 0}	{2, 1, 0}	1
G	{1, 0, 0}	{4, 5, 2}	1
Compare	{0, 0, 0}	{0, 0, 0}	0

And here the output table for the quadratic cohomology part:

Case	Betti	F-vector	Wu
U	{0, 0, 0, 0, 0}	{2, 8, 12, 8, 2}	0
K	{0, 1, 0, 0, 0}	{2, 4, 1, 0, 0}	-1
UK	{0, 0, 2, 0, 0}	{0, 4, 8, 2, 0}	2
KU	{0, 0, 2, 0, 0}	{0, 4, 8, 2, 0}	2
UU	{0, 0, 0, 2, 0}	{0, 0, 4, 8, 2}	-2
G	{0, 0, 1, 0, 0}	{4, 20, 33, 20, 4}	1
Compare	{0, 1, 3, 2, 0}	{0, 0, 0, 0, 0}	0

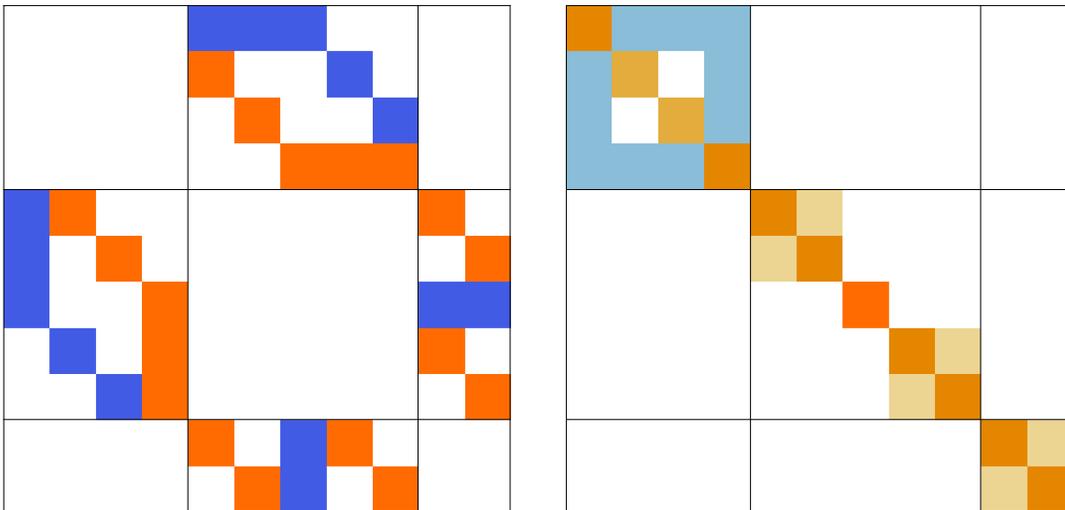


FIGURE 2. The Dirac matrix  $D$  and the Hodge Laplacian  $L = D^2$  in the linear case for the kite graph  $G$ . The splittings are given by the f-vector  $f(G) = (4, 5, 2)$ . There are 4 points, 5 edges and 2 triangles in  $G$ .

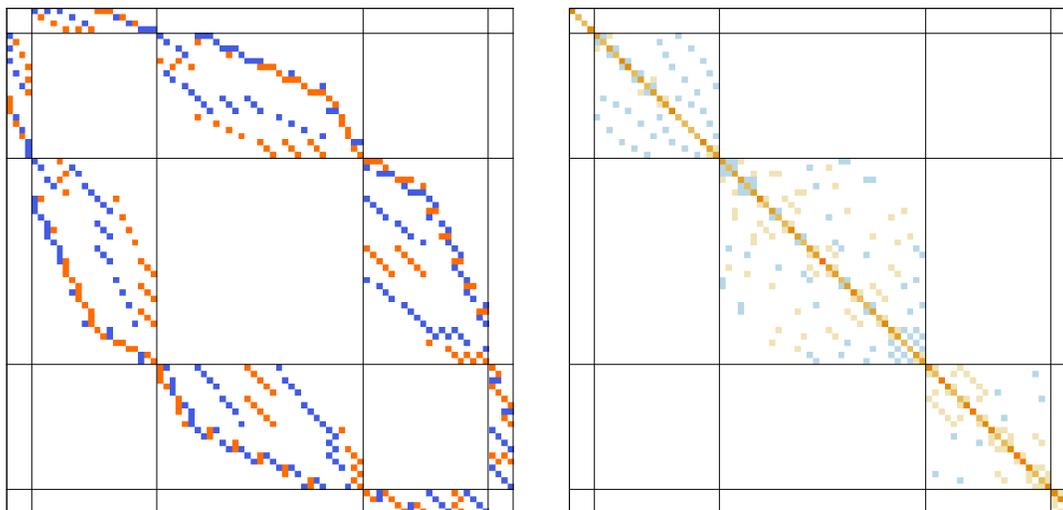


FIGURE 3. The Dirac matrix  $D$  and the Hodge Laplacian  $L = D^2$  in the quadratic case for the kite graph. The splittings are given by the f-vector  $f(G) = (4, 20, 33, 20, 4)$ . The space of 1-forms (intersecting points) is 4-dimensional, the space of 2-forms (intersection of a point with an edge) is 20-dimensional, the space of 3-forms (intersection of two edges or a triangle-point) has dimension 33, the space of 4-forms (intersection of an edge and triangle) is 20-dimensional, the space of 5-forms (intersection of two triangles) is 4-dimensional.

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