

## ENTRY POTENTIAL THEORY

[ENTRY POTENTIAL THEORY] Authors: Oliver Knill: jan 2003 Literature: "T. Ransford", "Potential theory in the complex plane".

### Analytic

[Analytic] Let  $D \subset \mathbb{C}$  be an open set. A continuous function  $f : D \rightarrow \mathbb{C}$  is called analytic in  $D$ , if for all  $z \in D$  the complex partial derivative

$$\frac{\partial f}{\partial z} := \lim_{|h| \rightarrow 0} \frac{1}{h} (f(z+h) - f(z))$$

exists and is finite. Analytic functions are also called holomorphic. Properties: the sum and the product of analytic functions are analytic. If  $f_n$  is a sequence of analytic maps which converges uniformly on compact subsets of  $D$  to a function  $f$ , then  $f$  is analytic too.

### complex partial derivative

Define the [complex partial derivative] of a complex function  $f(z) = f(x + iy)$  in the complex plane is defined as  $\frac{\partial f}{\partial z} = \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right) f$ .

### conformal map

A [conformal map] is a differentiable map from the complex plane to the complex plane which preserves angles.

- every conformal map which has continuous partial derivatives is analytic.
- An analytic function  $f$  is conformal at every point where its derivative  $f'(z)$  is different from 0.

### Dirichlet problem

Solution of the [Dirichlet problem]. If  $D$  is a regular domain in the complex plane and  $f$  is a continuous function on the boundary of  $D$ , then there exists a unique harmonic function  $h$  on  $D$  such that  $h(z) = f(z)$  for all boundary points of  $D$ .

### Dirichlet problem

Let  $K$  be a compact subset of the complex plane. Let  $P(K)$  the set of all Borel probability measure on  $K$ . A measure  $\nu$  maximizing the potential theoretical energy in  $P(K)$  is called an [equilibrium measure] of  $K$ . Properties:

- every compact  $K$  has an equilibrium measure.
- if  $K$  is not polar then the equilibrium measure is unique.

## fine topology

The [fine topology] on the complex plane is defined as the coarsest topology on the plane which makes all subharmonic functions continuous.

## Frostman's theorem

[Frostman's theorem]: If  $\nu$  is the equilibrium measure on a compact set  $K$ , then the potential  $p_\nu$  of  $\nu$  is bounded below by  $I(\nu)$  everywhere on  $\mathbb{C}$ . Furthermore,  $p_\nu = I(\nu)$  everywhere on  $K$  except on a *F\_sigma* polar subset  $E$  of the boundary of  $K$ .

## Frostman's theorem

A function  $h$  on the complex plane is called harmonic in a region  $D$  if it satisfies the mean value property on every disc contained in  $D$ .

## harmonic measure

A [harmonic measure]  $w_D$  on a domain  $D$  is a function from  $D$  to the set of Borel probability measures on the boundary of  $D$ . The measure for  $z$  is defined as the functional  $g \mapsto H_D(g)(z)$ , where  $H_D(g)$  is the Perron function of  $g$  on  $D$ .

- if the boundary of  $D$  is non-polar, there exists a unique harmonic measure for  $D$ .
- if  $D = \text{Im}(z) < 0$ , then  $w_D(z, a, b) = \arg((z - b)/(z - a))/\pi$

## Harnack inequality

The [Harnack inequality] assures that for any positive harmonic function  $h$  on the disc  $D(w, R)$  and for any  $r < R$  and  $0 < t < 2\pi$

$$h(w)(R - r)/(R + r) \leq h(w + re^{it}) \leq h(w)(R + r)/(R - r)$$

## extended Liouville theorem

The [extended Liouville theorem]: if  $f$  is subharmonic on the complex plane  $\mathbb{C} - E$ , where  $E$  is a closed polar set and  $f$  is bounded above then  $f$  is constant.

## generalized Laplacian

The [generalized Laplacian]  $\Delta(f)$  of a subharmonic function  $f$  on a domain  $D$  is the Radon measure  $\mu$  on  $D$  defined as the linear functional  $g \mapsto \int_D u \Delta g \, dA$ . The Laplacian of a subharmonic function is also called the Riesz measure. The Laplacian is known to exist and is unique. If  $p_\mu$  is the potential associated to  $\mu$ , then  $\Delta p_\mu = \mu$ .

## Hadamard's three circle theorem

[Hadamard's three circle theorem] assures that for any subharmonic function  $f$  on the annulus  $\{r < |z| < R\}$  the function  $M(f, r) = \sup_{|z|=r} f(z)$  is an increasing convex function of  $\log(r)$ .

## Jensen formula

[Jensen formula] If  $f$  is holomorphic in the disc  $D = B(0, R)$ ,  $r < R$  and  $a_1, \dots, a_n$  are the zeros of  $f$  in the closure of  $D$  counted with multiplicity, then  $\int_0^{2\pi} \log |f(re^{it})| dt = \log |f(0)| + N \log(r) - \sum_{j=1}^n \log |a_j|$ .

## Jensen formula

If  $f$  is a subharmonic function in a neighborhood of a point  $z$  in the complex plane, then the limit  $\lim_{r \rightarrow 0} M(f, r) / \log(r)$  exists and is called the [Lelong number] of  $f$  at  $z$ . Here  $M(f, r) = \sup_{|z|=r} f(z)$ .

## hyperbolic domain

An open set in the extended complex plane is a [hyperbolic domain] if there is a subharmonic function on  $G$  that is bounded above and not constant on any component of  $G$ . A domain which is not hyperbolic is called a parabolic domain. Known facts:

- every bounded region is a hyperbolic domain (take  $f(z) = \operatorname{Re}(z)$ ).
- an open not connected set is hyperbolic.
- the complex plane is not a hyperbolic domain

## Perron function

The [Perron function] for a domain  $D$  is defined as the functional assigning to a continuous function  $g$  on the boundary of  $D$  the value  $H_D(g)$ , which is the supremum of all subharmonic functions  $u$  satisfying  $\sup_{z \rightarrow w} u(z) \leq g(w)$ .

## potential

A subharmonic function  $f$  is called a [potential] if  $f = p_\mu$ , where  $\mu = \Delta f$  is the Laplacian of  $f$  and  $p_\mu u(z) = - \int_D \log |z - w| d\mu(w) / (2\pi)$  is the potential defined by  $\mu$ .

## logarithmic capacity

The [logarithmic capacity] of a subset  $E$  of the complex plane is defined as  $c(E) = \sup_{\mu} \exp(-I(\mu))$ , where  $I(\mu)$  is the potential theoretical energy of  $\mu$  and the supremum is taken over all Borel probability measures  $\mu$  on  $\mathbb{C}$  whose support is a compact subset of  $E$ . Known facts:

- $c(E) = 0$  if and only if  $E$  is polar.
- a disc of radius  $r$  has capacity  $r$
- a line segment of length  $h$  has capacity  $h/4$ .
- if  $K$  has diameter  $d$ , then  $c(K) \leq d/2$ .
- if  $K$  has area  $A$ , then  $c(K) \geq (A/\pi)^{1/2}$ .

## mean value property

The [mean value property] tells that if  $h$  is a harmonic function in the disc  $D(w, R)$  and  $0 < r < R$ , then  $h(w) = \int_0^{2\pi} h(w + re^{it}) dt / (2\pi)$ .

## polar set

A subset  $S$  of the complex plane is called a [polar set] if the potential theoretical energy  $I(\mu)$  is  $-\infty$  for every finite Borel measure  $\mu$  with compact support  $\text{supp}(\mu)$  in  $S$ . Properties of polar sets:

- every countable union of polar sets is polar.
- every polar set has Lebesgue measure zero.

## Poisson integral formula

The [Poisson integral formula]: if  $h$  is harmonic on the disk  $D(w, R')$ , then for all  $0 < r < R < R'$  and  $0 < t < 2\pi$ ,  $h(w + re^{it}) = \int_0^{2\pi} h(w + Re^{is}) (R^2 - r^2) / (R^2 - 2Rr \cos(s-t) + r^2) ds / (2\pi)$

## potential theoretical energy

The [potential theoretical energy]  $I(\mu)$  of a finite Borel measure  $\mu$  of compact support on the complex plane is defined as

$$I(\mu) = \int_C \int_C \int \int \log|z - w| d\mu(w) d\mu(z).$$

## potential theoretical energy

A function  $f$  on an open subset  $U$  of the complex plane is called [subharmonic] if it is upper semicontinuous and satisfies the local submean inequality. Examples:

- if  $g$  is holomorphic then  $f = \log |g|$  is subharmonic
- if  $\mu$  is a Borel measure of compact support, then  $f(z) = \int \log |z - w| d\mu(w)$  is subharmonic.
- any harmonic function is subharmonic.
- if  $g$  is subharmonic, then  $\exp(g)$  is subharmonic.

## regular

A boundary point  $w$  of a domain  $D$  is called [regular] if there exists a barrier at  $w$ . A barrier is a subharmonic function  $f$  defined in a neighborhood  $N$  of  $w$  which is negative on  $D \cap N$  and such that  $\lim_{z \rightarrow w} f(z) = 0$ . It is known that  $z$  is a regular boundary point if and only if the complement of  $D$  is non-thin at  $z$ .

## irregular

A boundary point  $w$  of a domain  $D$  is called [irregular] if it is not regular. It is known that if  $z$  has a neighborhood  $N$  such that  $N$  intersected with the boundary of  $D$  is polar, then  $z$  is irregular.

## irregular

A domain  $D$  for which every point is regular is called a [regular domain]. For example, a simply connected domain  $D$  such that the complement of  $D$  in the Riemann sphere contains at least two points, is regular.

## Riemann mapping Theorem

The [Riemann mapping Theorem]: if  $D$  is a simply connected proper subdomain of the complex plane, there exists a conformal map of  $D$  onto the unit disc.

## Riesz decomposition theorem

The [Riesz decomposition theorem] tells that every subharmonic function  $f$  can be written as  $f = p_\mu + h$ , where  $\mu = \Delta f$  is the Laplacian of  $f$ ,  $2\pi p_\mu$  is the potential of  $\mu$  and where  $h$  is harmonic.

## submean inequality

The local [submean inequality] for a function in the complex plane tells that there exists  $R > 0$  such that for all  $0 < r < R$  one has

$$f(w) \leq \int_0^{2\pi} f(w + re^{it}) dt / (2\pi).$$

## submean inequality

Let  $f$  be a subharmonic function on a domain  $D$ . The [maximum principle] says that if  $f$  attains a global maximum in the interior of  $D$  then  $f$  is constant.

## thin set

A subset  $S$  of the complex plane is called a [thin set] if for all  $w$  in the closure of  $S$  and all subharmonic functions  $f$ ,  $\limsup_{z \rightarrow w} f(z) = f(w)$ . Examples:

- every single point in the interior of  $S$  is thin.
- $F_\sigma$  polar sets  $S$  are thin at every point.
- connected sets of cardinality larger than 1 are non-thin at every point of their closure
- A domain  $S$  is thin at a point  $z \in S$  if and only if  $z$  is regular.

## Wiener criterion

The [Wiener criterion] gives a necessary and sufficient condition for a set  $S$  to be thin at a point  $w$ . Let  $S$  be a  $F_\sigma$  subset of  $C$  and let  $w$  be in  $S$ . Let  $a < 1$  and define  $S_n = \{z \in S, a^n < |z - w| < a^{n-1}\}$ . The criterion says that  $S$  is thin at  $w$  if and only if  $\sum_{n \geq 1} n / \log(2/c(S_n)) < \text{infinity}$ , where

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