

DIFFERENTIAL GEOMETRY

MATH 136

Unit 9: Curvature

9.1. The **shape operator** S or **Weingarten map** encodes how the surface curves in \mathbb{R}^3 . S maps the tangent space T_pM to the tangent space T_pS^2 . It maps r_u to $-n_u$ and r_v to $-n_v$, meaning $Sdr^T = -dn^T$. By identifying T_pM with T_pS^2 it is a self-map of T_pM . In the basis $\{r_u, r_v\}$, it becomes a 2×2 matrix $A = S^T$ satisfying $\boxed{dn = -drA}$. Take this matrix equation for 3×2 matrices as the relation defining the shape operator.

Theorem 1 (Shape operator). *The shape operator matrix is $\boxed{A = I^{-1}II}$.*

Proof. Using the 3×2 matrices dr, dn we have defined A as $dn = -drA$. The second fundamental form is $II = -dr^T dn = dr^T drA = IA$. Since I is invertible, we can solve for A and get $A = I^{-1}II$. \square

While A is not necessarily symmetric, it is symmetric with respect to the inner product $\langle v, w \rangle = v^T gw$. Proof $\langle Av, w \rangle = (Av)^T Iw = v^T A^T I = v^T II^T (I^{-1})^T Iw = v^T II^T w = v^T IIw = w^T IIv$ because II was symmetric. Having been able to switch v, w shows $\langle Aw, v \rangle = \langle w, Av \rangle$.

9.2. Define the **Gaussian curvature** as $\boxed{K = \det(A)}$. Written out, the curvature is

$$K = \det(A) = \frac{\det(II)}{\det(I)} = \frac{LN - M^2}{EG - F^2} = \lambda\mu.$$

From linear algebra, we know it is the product of the eigenvalues λ, μ of A . The **mean curvature** H is defined as the average of eigenvalues λ, μ of A . It is

$$H = \frac{\text{tr}(A)}{2} = \frac{EN - 2FM + GL}{2(EG - F^2)} = \frac{\lambda + \mu}{2}.$$

Theorem 2.

$$K = \frac{\det(II)}{\det(I)}$$

is independent of the basis.

Proof. We immediately have from the product determinant formula and the fact that I is invertible that $\det(K) = \det(A) = \det(II)/\det(I)$. Since determinants are independent of the basis, also the curvature is. \square

¹Einstein: $I = g_{ij}$ and $I^{-1} = g^{ij}$ and $II = h_{ij}$ and $A_i^k = g^{kj} h_{ji}$. The shape operator is a "linear transformation" $A_k^i v^k = w^i$ on vectors. I, II are quadratic forms "**(0,2) tensor fields**" while A is a transformation at every point, a "**(1,1) tensor field**". $dn = -drA$ are called Weingarten equations.

9.3. We write $\iint_M f dV$ for the integral $\iint_R f(u, v) |r_u \times r_v| dudv$. For $f = 1$, this is the **surface area** $|M| = \iint_R |r_u \times r_v| dudv$. Since $n(u, v)$ parametrizes the unit sphere, we have $\iint_R |n_u \times n_v| dudv = 4\pi$. For convex surfaces, we can use the same parameter domain $R = [0, 2\pi) \times [0, \pi)$ and see that the total curvature is the same than the total curvature of a sphere. This requires that K is positive. The area of the image of S is called the **total curvature**. We have now already a cool version of Gauss-Bonnet: The general version will work for any surface, not only for convex (and so positive curvature) surfaces.

Theorem 3 (Gauss-Bonnet for convex closed surfaces). $\iint_M K dV = 4\pi$.

Lemma 1. $\boxed{III = IIA}$ and so $\det(III) = \det(A)^2 \det(I)$.

Proof. Start with the definition $dn = -drA$. Multiply with dn^T from the left to get $III = dn^T dn = -dn^T drA = IIA$. Taking determinants gives $\det(III) = \det(II) \det(A) = \det(I) \det(A) \det(A)$. \square

9.4. The two identities $II = IA$ and $III = IIA$ can be used for a proof of the identity $\boxed{III - 2HII + KI = 0}$ without using the inner product defined by I .² Now to the proof of the Gauss-Bonnet result:

Proof. Take square roots of the lemma gives $\sqrt{\det(III)} = K \sqrt{\det(I)}$. This step has required K to be non-negative. Therefore,

$$\begin{aligned} 4\pi &= \iint_R |n_u \times n_v| dudv = \iint_R \sqrt{\det(III)} dudv \\ &= \iint_R K \sqrt{\det(I)} dudv = \iint_R K |r_u \times r_v| dudv = \iint K dV . \end{aligned}$$

\square

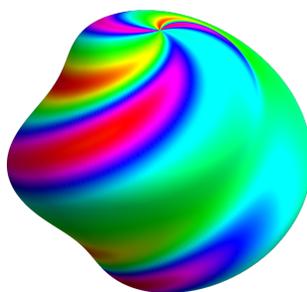


FIGURE 1. We see a convex surface colored with the curvature function K . Gauss-Bonnet establishes that the total curvature is 4π .

²Thanks to some students of the course to point this out.